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A Randomized Clinical Trial to Assess the Efficacy of Real-Time Continuous Glucose Monitoring in the Management of Type 1 Diabetes

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Table of Contents

43		
44		
45	1. Chapter 1: Introduction	1-1
46	1.1 Introduction and Rationale	1-1
47	1.2 Current RT-CGM Systems	1-1
48	1.2.1 Studies on the Three RT-CGMs	1-2
49	1.3 DirecNet Pilot Study	1-3
50	1.4 Study Objective	1-4
51	1.5 RT-CGM Systems to Use in the Trial	1-4
52	1.6 Synopsis of Study Protocol	1-5
53	1.6.1 Summary of Design of Randomized Trial	1-5
54	1.6.2 Summary of Protocol	1-8
55	1.7 General Considerations	1-9
56		
57	2. Chapter 2: Subject Eligibility and Enrollment	2-1
58	2.1 Study Population	2-1
59	2.2 Eligibility and Exclusion Criteria	2-1
60	2.2.1 Eligibility	2-1
61	2.2.2 Exclusion	2-2
62	2.3 Subject Enrollment and Baseline Data Collection	2-2
63	2.3.1 Historical Information and Physical Exam	2-2
64	2.3.2 HbA1c	2-2
65	2.3.3 Informed Consent	2-2
66	2.3.3.1 Authorization Procedures	2-3
67	2.3.3.2 Special Consent Issues	2-3
68	2.3.4 Questionnaire Completion	2-3
69	2.3.5 Instructions for Home Procedures	2-3
70		
71	3. Chapter 3: Randomization Visit	3-1
72	3.1 Timing of Visit	3-1
73	3.2 Review of RT-CGM and HGM Data	3-1
74	3.3 Randomization	3-1
75	3.4 Procedures for the RT-CGM Group	3-1
76	3.5 Procedures for the Control Group	3-2
77	3.6 HbA1c	3-2
78		
79	4. Chapter 4: Randomized Trial Phase	4-1
80	4.1 Home Procedures and Diabetes Management	4-1
81	4.1.1 RT-CGM Group	4-1
82	4.1.2 Control Group	4-1
83	4.1.2.1 Use of the Blinded RT-CGM by Control Group	4-1
84	4.2 Follow-up Visits and Phone Contacts	4-1
85	4.2.1 Follow-up Visits	4-1
86	4.2.1.1 Procedures at Follow-up Visits	4-2
87	4.2.2 Phone Contacts	4-2
88		
89	5. Chapter 5: Post-Randomized Trial Observation Phase	5-1
90	5.1 RT-CGM Group	5-1
91	5.2 Control Group	5-1

92	5.3 Procedures at Follow-up Visits	5-1
93	5.4 Optional Continuation of Study for Subjects Using the FreeStyle Navigator	5-2
94		
95	6. Chapter 6: Questionnaires	6-1
96	6.1 Introduction	6-1
97	6.2 Blood Glucose Monitoring System Rating Questionnaire	6-1
98	6.3 Pediatric Quality of Life Inventory (PedsQL)	6-1
99	6.4 Hypoglycemia Fear Survey	6-1
100	6.5 Problem Areas in Diabetes (PAID – Adult Subject Version).....	6-1
101	6.6 Problem Areas in Diabetes (PAID – Parent Version)	6-2
102	6.7 SF-12.....	6-2
103	6.8 Continuous Glucose Monitor Satisfaction Scale.....	6-2
104	6.9 Algorithm Satisfaction Questionnaire.....	6-2
105		
106	7. Chapter 7: Adverse Events	7-1
107	7.1 Definition	7-1
108	7.2 Recording of Adverse Events.....	7-1
109	7.3 Reporting Serious or Unexpected Adverse Events	7-1
110	7.4 Risks and Discomforts	7-2
111	7.4.1 Skin Reactions	7-2
112	7.4.2 Fingertick Blood Glucose Measurements	7-2
113	7.4.3 Psychosocial Questionnaires	7-2
114	7.5 Data and Safety Monitoring Board	7-2
115		
116	8. Chapter 8: Miscellaneous Considerations.....	8-1
117	8.1 Benefits ..	8-1
118	8.2 Subject/Parent Reimbursement	8-1
119	8.3 Subject Withdrawal.....	8-1
120	8.4 Confidentiality.....	8-1
121		
122	9. Chapter 9: Statistical Considerations	9-1
123	9.1 Sample Size Estimation.....	9-1
124	9.1.1 Primary Cohort	9-1
125	9.1.2 Secondary Cohort	9-1
126	9.1.3 Interim Sample Size Re-estimation	9-1
127	9.2 Statistical Analysis	9-2
128	9.2.1 Primary Cohort	9-2
129	9.2.1.1 Randomized Trial	9-2
130	9.2.1.2 Post-RCT Observation Phase	9-3
131	9.2.2 Secondary Cohort	9-3
132	9.2.3 Cost-effectiveness Analysis.....	9-3
133		
134	10. References.....	10-1

135
136
137
CHAPTER 1
INTRODUCTION

138 **1.1 Introduction and Rationale**

139 The Diabetes Control and Complications Trial (DCCT) clearly demonstrated the importance of
140 glycemic control in preventing and delaying the microvascular complications of type 1 diabetes
141 (T1D)^{1,2} but at the cost of a 3-fold increase in the frequency of severe hypoglycemia and a 2-fold
142 increase in the frequency of excessive weight gain. The DCCT also demonstrated that achieving and
143 maintaining target glucose and HbA1c levels was more difficult in adolescents than adults with T1D.
144 Compared with intensively treated adults, intensively treated adolescents in the DCCT had higher
145 HbA1c levels, a 60% increase in the risk of severe hypoglycemia, and the same 2-fold increase in the
146 rate of obesity.³

147
148 Despite increased use of insulin pumps and multiple injection regimens and the introduction of rapid
149 and long-acting insulin analogs, the majority of individuals with T1D fail to achieve target HbA1c
150 levels recommended by the DCCT more than 12 years ago. While self-monitoring of blood glucose
151 plays an important role in achieving target HbA1c levels, most patients measure glucose levels
152 infrequently immediately after meals and during the overnight period. Consequently, post-prandial
153 hyperglycemia and asymptomatic nocturnal hypoglycemia are commonly observed even in well-
154 controlled individuals with T1D.⁴ In addition, the fear of hypoglycemia by patients, and for children’s
155 parents, is a major obstacle to successful intensive insulin therapy, and the lack of overnight
156 monitoring is a problem since more than 50% of severe hypoglycemic events occur during sleep.⁵⁻⁷

157
158 Increasing the frequency of glucose monitoring was an important aspect of attaining improved glucose
159 control in the DCCT. However, resistance to frequent blood glucose monitoring is a major
160 impediment to attaining good (lower HbA1c level) glucose control. Real-time continuous glucose
161 monitoring (RT-CGM) offers the opportunity to improve glycemic control, including reducing rather
162 than increasing the incidence of severe hypoglycemia as control is “tightened.” The GlucoWatch
163 Biographer™ was the first “real-time” glucose sensor made available for daily use by patients.
164 Unfortunately, it was not tolerated well and few children found it to have long-term utility.⁸ Recently
165 several RT-CGM systems were approved by the FDA: the DexCom STS Continuous Glucose
166 Monitoring System™ (DexCom, Inc., San Diego, CA) and the Paradigm® and Guardian® REAL-
167 Time Continuous Glucose Monitoring Systems (Medtronic Minimed, Northridge, CA). The same
168 sensor is used by the Paradigm and Guardian systems. Therefore, for this protocol we are considering
169 the Paradigm and Guardian devices as the same device. An additional RT-CGM system, the FreeStyle
170 Navigator™ Continuous Glucose Monitoring System (Abbott Diabetes Care, Inc.) has been evaluated
171 by the Diabetes Research in Children Network (DirecNet) and is expected to receive FDA approval
172 shortly.

173
174 The proper role of the RT-CGM in the management of T1D has not been determined. Clinical trials of
175 RT-CGM technology are critically important to demonstrate that this technology is effective and to
176 provide information on how it should be implemented and used.

177
178 **1.2 Current RT-CGM Systems**

179 All three of these RT-CGM systems measure interstitial glucose. Each system consists of a glucose
180 oxidase based electrochemical sensor placed subcutaneously and a receiver to which the glucose
181 measurements (or signal) are sent wirelessly and stored. In human studies the interstitial glucose levels
182 generally lag behind the blood glucose by 3 to 13 minutes.^{9, 10}

183
184 Features of the three RT-CGMS are summarized in the table below

	Navigator	DexCom	Paradigm/Guardian
Range of glucose values	20 to 500 mg/dL	40 to 400 mg/dL	40 to 400 mg/dL
Frequency of glucose values	Every minute (saved every 10 minutes)	Every 5 minutes	Every 5 minutes
Lifespan of sensor	120 hours	72 hours	72 hours
Warm up period	10 hours	2 hours	2 hours
Calibration frequency	4 times at approximately 10hrs, 12hrs, 24hrs and 72hrs following sensor insertion	2 times a day (every 12hrs)	2 times a day (every 12hrs)
Home Glucose Meter (HGM) for Calibration	FreeStyle (built in)	One Touch Ultra (connected via a cable)	BD Logic (connected via radiofrequency); can also enter manual calibrations from any HGM
Alarms	Hypo, hyper (adjustable) Predicted alarms based on rate of change	Hypo, hyper (adjustable) No predicted alarms	Hypo, hyper (adjustable) No predicted alarms
Trend Arrows on Receiver Display	Yes	No	Yes
Entering of events	Insulin, meals, exercise, health, other	Not available	Insulin, meals, exercise
Other features			Can be combined with a Medtronic pump in a single device (functioning separately)
FDA status	Pending for adults	Approved for ≥ 18 year olds as adjunct to HGM	Approved for ≥ 18 year olds as adjunct to HGM

186

187

188

1.2.1 Studies on the Three RT-CGMs

FreeStyle Navigator

189 Bode et al.¹¹ reported on adults with type 1 (N=60) or type 2 (N=41) diabetes who wore a blinded
 190 Navigator sensor for an average of 287h. Patients spent an average 8% of time in the hypoglycemic
 191 range (<70 mg/dL), 63% time in euglycemia (70-180 mg/dL) and 29% time in the hyperglycemic
 192 range (>180 mg/dL). The sensor detected an average of 1.6 hypoglycemic episodes per day each
 193 lasting an average of 0.9h. No accuracy data were reported.

194

195

196

DexCom STS

197 Garg et al.¹² studied 15 adults with T1D. The mean relative absolute difference (RAD) was 16%
 198 during a 12h inpatient session compared to a home glucose meter reference and 25% compared to a
 199 YSI reference. The bias difference compared to a home glucose meter was <15% in each glucose
 200 range studied. Patients wore a blinded sensor at home for a mean of 50 days followed by a period of
 201 unblinded home use (mean 44 days). Patients spent a median 47% less time below 3.1 mmol/L and
 202 25% less time above 13.3 mmol/L (both $P < 0.05$) when the sensor was unblinded. No adverse events
 203 were reported as a result of sensor use.

204

205

The DexCom users guide reports a median RAD of 20% pooling inpatient and outpatient data.¹³

206

207

Guardian

208 Bode et al.¹⁴ randomized 71 adults with T1D to wear the Guardian sensor with either the hypo-
209 /hyperglycemia alarms turned on or off. The median RAD value was 17% with a median bias of -8
210 mg/dL compared to a home glucose meter. Both randomization groups wore two sensors (median
211 sensor life 72h) with the alarms turned off during the first period. During the second period each
212 subject wore two more sensors with the alarms activated (set to 70 and 250 mg/dL) in those
213 randomized to the alarm group. The median duration of hypoglycemic excursions dropped
214 significantly more between the two periods in the alert group (27.8 vs. 4.5 minutes; P = 0.03), but the
215 median number of hypoglycemic events increased slightly more in the alert group (+0.5 vs. +0.03 per
216 day; P = 0.18). No significant differences in the incidence or duration of hyperglycemia. Eleven
217 adverse events were reported from 7 subjects including 7 instances of mild skin irritation, 2 instances
218 of excessive bleeding (moderate intensity), 1 instance of hematoma and 1 instance of moderate
219 discomfort.

220
221 Piper et al.¹⁵ reported a mean RAD of 18% compared to a laboratory reference in 20 children <3y of
222 age undergoing cardiac bypass surgery. Within range of 23.1 to 37.5° C, no affect of temperature on
223 accuracy was detected. Mean glucose concentrations were 84, 157, 137 and 114 mg/dL
224 preoperatively, on the day of surgery, postoperative days 1 and postoperative day 2, respectively.
225 Subjects wore the sensor for a mean 48h and no instances of adverse events related to the sensor were
226 reported.

227 228 **1.3 DirecNet Pilot Study**

229 The Diabetes Research in Children Network (DirecNet) conducted a pilot study using the Navigator.
230 The study included 30 children with T1D aged 4 to 17 years who were using an insulin pump. On the
231 day of enrollment, HbA1c was measured, psychosocial questionnaires were completed, and
232 instructions were given for use of the Navigator. To obtain a baseline assessment of glycemic control,
233 the Navigator was blinded during the first week so subjects were not able to view the sensor data.
234 Subjects returned for a 24-hour admission in a clinical research center approximately one week after
235 the enrollment visit to assess the accuracy of the Navigator and to be instructed on how to use the
236 Navigator glucose data in daily diabetes management. Follow-up visits were performed after 1, 3, 7,
237 and 13 weeks. At the 13-week visit, subjects who were interested in continuing to use the Navigator
238 were provided with additional supplies to continue in the study for another 13 weeks.

239
240 Results: During inpatient use, the median RAD was 12% compared to a laboratory reference and 74%
241 of Navigator-reference pairs met the ISO criteria (sensor within ± 15 mg/dL if reference < 75 mg/dL or
242 sensor within $\pm 20\%$ if reference ≥ 75 mg/dL). During hypoglycemia (reference ≤ 70 mg/dL), the
243 median absolute difference was 14 mg/dL and the median RAD was 13% and 10% when the reference
244 was 71-180 and > 180 mg/dL, respectively. There was no tendency for the Navigator to read
245 systematically higher or lower than the reference (median difference -2 mg/dL; P = 0.34). Accuracy
246 was similar during outpatient use with the built in Freestyle meter as the reference.

247
248 Twenty-eight of the 30 subjects completed the planned 13-week duration of the pilot study. Subjects
249 averaged 149 hrs/wk of Navigator use during the first 4 weeks and 134 hrs/wk during wks 9-13. Two
250 subjects withdrew prior to the 13-week visit and 2 subjects had a severe skin reaction but continued to
251 use the Navigator after the reaction resolved.

252
253 For the 28 subjects completing the 13-week visit, HbA1c decreased from a mean at baseline of 7.1% to
254 6.8% at 13 weeks (P=0.02) despite the fact that 75% of the subjects started with an A1c $< 7.5\%$. There
255 was an immediate increase in the percentage of glucose values in the target range that then remained
256 steady during the 13 weeks (52% at baseline, 60% during weeks 1-4, and 60% during weeks 9-13, P=
257 0.01 comparing baseline to weeks 9-13). Glycemic variation as measured by the SD and the mean

258 amplitude of glycemic excursions (MAGE), which quantifies glucose stability by taking the average
259 change in glucose over each excursion from peak to nadir (or nadir to peak),¹⁶ also decreased during
260 the study period, but this did not achieve statistical significance. Both subjects (≥ 9 y) and their parents
261 reported high overall satisfaction with the Navigator on the CGM Satisfaction Scale at 13 weeks with
262 average item scores of 3.6 and 3.9, respectively, on a 5-point Likert scale. At 13 weeks, none of the
263 patients and only 1 parent disagreed that the DirecNet Applied Treatment Algorithm (DATA) gave
264 good clear directions for how much insulin to give.
265

266 Twenty-six of 28 subjects elected to continue to use the Navigator beyond 13 weeks. Navigator use
267 decreased during this period, during which there were no protocol-specified visits or phone contacts.
268 One subject dropped from the study prior to the 26 week visit. At the 26-week visit, 7 others reported
269 that they had not used the Navigator in the previous 7 days. Among the other 18 subjects, Navigator
270 use which averaged 139 hours/week in weeks 9-13 decreased to 108 hours/week in weeks 22-26.
271 HbA1c at 26 weeks averaged 7.0% overall, which was not significantly better than the baseline A1c
272 ($P=0.64$), but remained lower among the $N=12$ subjects whose baseline HbA1c was $>7.0\%$ (7.2% at 26
273 weeks vs. 7.6% at baseline; $P=0.02$). Despite the decrease in use, both subjects (≥ 9 y) and their parents
274 continued to report high overall satisfaction with the Navigator on the CGM Satisfaction Scale at 26
275 weeks with average item scores of 3.7 and 4.1, respectively, on a 5-point Likert scale.
276

277 Since all of the subjects enrolled in this study were on insulin pump therapy, a second pilot study
278 evaluating the use of the Navigator is being conducted for subjects on multiple daily injection therapy
279 using glargine as basal insulin.
280

281 The positive results in this pilot study using the Navigator are encouraging in indicating that
282 incorporating RT-CGM into the daily management of T1D in children is feasible and viewed
283 extremely positively by both patients and parents. However, the results must be viewed cautiously
284 since the study did not include a concurrent control group and the data suggested a drop off in use after
285 the first 13 weeks. Nevertheless, the results provide a compelling rationale for a larger scale
286 randomized trial.
287

288 **1.4 Study Objective**

289 The primary objective of the study is to determine if RT-CGM can improve glycemic control and
290 quality of life in children and adults with T1D. In addition, an evaluation of the cost-effectiveness of
291 RT-CGM will be conducted.
292

293 **1.5 RT-CGM Systems to Use in the Trial**

294 The technology involved with the three RT-CGM systems is similar. Therefore, the objective of the
295 study involves whether the diabetes management strategy using RT-CGM technology is beneficial and
296 not whether a specific RT-CGM system is beneficial. As a result, one, two, or all three of the RT-
297 CGM systems described earlier may be used in the trial depending on availability of the RT-CGM
298 systems, costs, feasibility aspects of use, and cooperation of the companies to provide whatever
299 modifications are needed to the systems for the conduct of the trial (such as blinding the readouts
300 during parts of the study and retrieval of the data for the project's database). Shortly before enrollment
301 of the first subject, the study group will decide which RT-CGM system(s) will be used in the study.
302 This may change as the study progresses based on availability of RT-CGM systems, development of
303 enhancements, and experience with the different systems. When more than one RT-CGM system is
304 available for assignment, the selection for a new subject will be made by the investigator.
305

306 During the course of the study, subjects may receive upgraded RT-CGM systems as they become
307 available and could be switched from one system to another if their use of one system was not
308 successful and there was reason to believe that they might be successful with a different system.
309

310 **1.6 Synopsis of Study Protocol**

311 Subjects with T1D who are at least 8 years old will be enrolled into the multi-center protocol which
312 consists of two phases:

313 (1) a 6-month randomized trial comparing a RT-CGM group with a control group that will use
314 home glucose meter (HGM) monitoring and have the same number of scheduled phone contacts and
315 visits as the RT-CGM group, followed by:

316 (2) a 6-month observational study during which the RT-CGM Group continues to use RT-CGM
317 to evaluate whether any beneficial effect seen in the first 6 months is sustained with longer-term use
318 and less intensive contact and the control group initiates RT-CGM use with less intensive contact after
319 the first month than was provided at initiation of RT-CGM use in the RT-CGM group in phase 1.
320

321 The protocol will include two sub-studies with identical protocols.

- 322 • The primary study will include subjects with a HbA1c of 7.0% to 10.0% inclusive.
 - 323 • The secondary study will include subjects with HbA1c <7.0%.
- 324

325 This separation into two sub-studies according to baseline HbA1c level was done because there is not a
326 widely accepted primary outcome that can be used across the full range of HbA1c values.

- 327 • For individuals with HbA1c $\geq 7.0\%$, HbA1c is a meaningful outcome measure that is likely to
328 detect any beneficial effect of RT-CGM intervention in a randomized trial.
- 329 • For individuals with HbA1c <7.0%, neither HbA1c nor incidence of hypoglycemia is a
330 satisfactory outcome. With successful RT-CGM use, HbA1c may not change or may even rise
331 slightly. Therefore, HbA1c is not an appropriate endpoint for this group. Including such
332 individuals in the primary study (with HbA1c as the outcome) would likely reduce the study's
333 statistical power. In individuals with HbA1c <7.0%, a major goal of RT-CGM is to reduce the
334 incidence of severe hypoglycemia. However, this is a problematic endpoint for a randomized
335 trial because the frequency of episodes of severe hypoglycemia in the control group is expected
336 to be low, and as a result, an unfeasibly large sample size would be needed to evaluate whether
337 RT-CGM use can reduce the incidence. A definition of hypoglycemia that included less severe
338 events (such as confirmed symptomatic episodes in which assistance was not needed) probably
339 would be biased against the RT-CGM group, since the use of the RT-CGM is likely to identify
340 more events merely because the glucose level is known. Therefore, this cohort of subjects will
341 be included as a secondary pilot study to explore outcomes that could be appropriate to use to
342 evaluate the value of RT-CGM in subjects with HbA1c in the excellent range.
343

344 **1.6.1 Summary of Design of Randomized Trial**

345 **A. Major Eligibility Criteria**

- 346 • Clinical diagnosis of T1D
- 347 • Age ≥ 8 years
- 348 • Insulin regimen includes either an insulin pump or multiple daily injections
349

350 **B. Sample Size**

351 The primary study (HbA1c $\geq 7.0\%$) will include a minimum of 330 subjects, with approximately 110 in
352 each of three age groups of 8 to <15 years old, 15 to <25 years old, and ≥ 25 years old. Depending on
353 the results of an interim analysis (see section 9.1.3) this may be increased up to 450 subjects.

354

355 The secondary study (HbA1c <7.0%) will include a minimum of 120 subjects, with approximately 40
356 in each of three age groups of 8 to <15 years old, 15 to <25 years old, and \geq 25 years old. If the goal of
357 120 subjects is reached prior to completion of recruitment of the primary cohort, recruitment may be
358 continued up to 240 subjects.

359

360 Enrollment will include approximately 50% of subjects using an insulin pump and approximately 50%
361 of subjects using multiple daily injections of insulin (at least 3 shots per day).

362 **C. Treatment Groups**

363 Subjects will be randomly assigned with equal probability to the following 2 groups:

- 364 • RT-CGM for 12 months
- 365 • Control Group using HGM monitoring for 6 months followed by RT-CGM use for 6 months

366

367 **D. Duration of Follow-up**

- 368 • RCT outcome at 6 months
- 369 • Subsequent observational study outcome at 1 year
- 370 • Total duration – 1 year

371 **E. Main Outcome Measures**

372 RCT – 1st 6 months

373 Treatment group comparisons of the following:

- 374 • HbA1c
- 375 • Episodes of severe hypoglycemia
- 376 • Percentage of sensor values in range (70 mg/dL to 180 mg/dL)*
- 377 • Biochemical hypoglycemia (percentage of sensor values <70 mg/dL)*
- 378 • Quality of life measures
- 379 • Measures of variability: mean amplitude of glycemic excursions (MAGE), SD, mean absolute
380 rate of change*

381

382 *based on one week of sensor values (both groups will use a blinded sensor for one week at baseline; the control
383 group will use a blinded sensor for one week at 3 months and 6 months while the RT-CGM group will use an
384 unblinded sensor)

385

386 Post-RCT Observational Study – 2nd 6 months

387 The same outcome measures will be evaluated in within-group analyses.

- 388 • For the RT-CGM group, comparisons will be made with both the RCT baseline and the
389 observational phase baseline
- 390 • For the control group, comparisons will be made with the observational study baseline

391

392 A cost-effectiveness analysis will be included in the analysis plan of the study.

393

394
395

F. Schedule of Study Visits/Phone Contacts and Examination Procedures

Randomized Trial Phase

Visit or Phone	Enr	0	3d	1w	2w	4w	6w	8w	10w	13w	16w	19w	22w	26w
	V	V	P	V	P	V	P	V	P	V	P	V	P	V
Blinded RT-CGM*	X									X*				X*
Pre-randomization compliance assessment		X												
HbA1c-DCA2000	X	X				X		X		X		X		X
HbA1c-lab		X								X				X
Skin Assessment		X		X		X		X		X		X		X
Data download				X		X		X		X		X		X
Review diabetes management		X	X	X	X	X	X	X	X	X	X	X	X	X
Blood Glucose Monitoring System Rating Questionnaire	X													X
PedsQL Questionnaire (Subjects <18 yrs & Parent)	X													X
Hypoglycemia Fear Survey	X													X
PAID Survey (Subjects ≥18 yrs and Parents of subjects <18 yrs)	X													X
SF-12 (Subjects ≥18 yrs)	X													X
CGM Satisfaction Scale**														X
Algorithm Satisfaction Questionnaire						X				X				
Cost-effectiveness data	X			X		X		X		X		X		X

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*Both groups will use a blinded RT-CGM at baseline. At 13 and 26 weeks, the Control group will use a blinded RT-CGM and will return it a week later.

**RT-CGM Group only.

402 **Post-RCT Observation Phase** (following 6 month follow-up visit)

Visit or Phone	Control Group				Both Groups	
	3d P	1w V	2w P	4w V	13w V	26w V
HbA1c-DCA2000				X	X	X
HbA1c-lab					X	X
Skin Assessment		X		X	X	X
Data download		X		X	X	X
Review diabetes management	X	X	X	X	X	X
Blood Glucose Monitoring System Rating Questionnaire						X
PedsQL Questionnaire (Subjects <18 yrs & Parent)						X
Hypoglycemia Fear Survey						X
PAID Survey (Subjects ≥18 yrs and Parents of subjects <18 yrs)						X
SF-12 (Subjects ≥18 yrs)						X
CGM Satisfaction Scale						X
Algorithm Satisfaction Questionnaire*				X		
Cost-effectiveness data		X		X	X	X

403 *Control Group only

404

405 **1.6.2 Summary of Protocol**

- 406 1. Informed consent and assent (if applicable) will be obtained from eligible subjects and their
407 parent/guardian if <18 years of age in compliance with local IRB policies.
- 408 2. On the day of enrollment, a HbA1c will be obtained, psychosocial questionnaires will be
409 completed, and instructions will be given for use of the RT-CGM. The study personnel will
410 supervise the subject or parent inserting the RT-CGM sensor in the clinic and will instruct the
411 subject or parent to insert a second sensor at home as needed. To obtain a baseline assessment of
412 glycemic control and variability, the RT-CGM used during the first week will be blinded so
413 subjects will not be able to view the data from the sensor. The subject will be instructed to
414 complete at least four glucose measurements a day using the study HGM to calibrate the RT-CGM.
- 415 3. The subject will return for the randomization visit 10+3 days after the enrollment visit.
- 416 • Subjects who have been compliant with use of the RT-CGM and HGM will be randomized to
417 one of two treatment groups: RT-CGM Group or Control Group.
 - 418 ➤ Compliance will be defined as use of the RT-CGM for at least 6 out of the 7 days
419 prior to the randomization visit, at least 96 hours of RT-CGM glucose values obtained
420 with at least 24 hours between the hours of 10 p.m. and 6 a.m., and use of the HGM
421 for testing at least 3 times each day prior to the randomization visit.

- 422 ➤ Subjects who are not compliant will be given another opportunity to complete the
423 baseline requirements at the discretion of the investigator.
- 424 • For the RT-CGM Group, the RT-CGM, HGM, and pump data (if subject uses an insulin pump)
425 will be reviewed and changes will be made to diabetes management as needed.
426 Subjects/parents will be taught to use the protocol-developed algorithms for changes to diabetes
427 management to be used in real time based on RT-CGM and HGM data. Instructions for
428 downloading the RT-CGM and HGM will be provided to subjects with a home computer.
- 429 • For the Control Group, a HGM and test strips will be provided. The HGM and pump data (if
430 subject uses an insulin pump) will be reviewed and changes made in diabetes management as
431 needed. The blinded RT-CGM data will be downloaded but will not be reviewed by study
432 personnel until the end of the first 6 months of the study. Subjects and parents will be taught to
433 use the protocol-developed algorithms for how to make changes to diabetes management based
434 on HGM data.
- 435 4. Both groups will have follow-up visits at 1, 4, 8, 13, 19, and 26 weeks (± 1 week) plus one phone
436 contact between each visit (including one phone contact between randomization and the one week
437 visit) to review their diabetes management.
- 438 • Both groups will download device data on a weekly basis (if the subject has a computer).
439 Subjects with email access will be instructed to email the downloaded data to the clinical center
440 prior to each phone contact.
- 441 • For both groups, at each visit, the HGM and pump (if subject uses an insulin pump) will be
442 downloaded and for the RT-CGM Group, the RT-CGM will be downloaded.
- 443 6. In the 13th and 26th weeks, the Control Group will use a blinded RT-CGM for one week. The RT-
444 CGM Group will continue to use the unblinded RT-CGM. The Control Group will return the
445 blinded RT-CGM to the clinic after a week. The data will be reviewed by personnel who are not
446 involved in the care of the subject to determine if additional blinded sensor data are needed. The
447 blinded data will not be reviewed by study personnel for management decisions until the end of the
448 first 6 months of the study.
- 449 7. Following the 26-week visit:
- 450 • Subjects in the RT-CGM Group will continue to use the RT-CGM.
- 451 • Subjects in the Control Group will be provided with a RT-CGM and sensors after the week of
452 blinded use and will have visits after 1 week and 4 weeks, with a phone contact during the first
453 and third weeks.
- 454 • Both groups will have visits after 13 weeks and 26 weeks (study time 9 and 12 months).
- 455 ➤ At the 26-week visit (study time 12 months), subjects using the FreeStyle Navigator will
456 be given the choice to continue in the study until the device is approved by the FDA or
457 until Abbott Diabetes Care can no longer provide supplies for the study. Subjects who
458 agree to continue in the study will sign an addendum to the informed consent.
- 459 ▪ Subjects who continue in the study will return to the clinic every 3 months for a
460 standard clinic visit.

461
462 **1.7 General Considerations**

463 The study is being conducted in compliance with the policies described in the study policies document,
464 with the ethical principles that have their origin in the Declaration of Helsinki, with the protocol
465 described herein, and with the standards of Good Clinical Practice.

466
467 Data will be directly collected in electronic case report forms, which will be considered the source
468 data.
469
470 There is no restriction on the number of subjects to be enrolled by a site.

471 **CHAPTER 2**
472 **SUBJECT ELIGIBILITY AND ENROLLMENT**
473

474 **2.1 Study Population**

475 Approximately 450 subjects are expected to be enrolled in the study (see section 1.6.1, B). Some centers
476 will be enrolling children, some adults, and some both.

- 477 • Approximately one-third of the subjects will be enrolled in each of the following three age groups:
478 8 to <15 years old, 15 to <25 years old, and ≥ 25 years old.
- 479 • Enrollment is expected to include approximately 50% of subjects using an insulin pump and
480 approximately 50% of subjects using multiple daily injections of insulin (at least 3 shots per day).
- 481 • Enrollment is expected to include approximately 330 individuals with HbA1c $\geq 7.0\%$ and 120 with
482 a HbA1c $< 7.0\%$.

483
484 Subjects will include both males and females and an enrollment goal will be to achieve an approximately
485 equal sex distribution in each age group.

486
487 A goal of recruitment will be to enroll a minimum of 10% minorities.

488
489 **2.2 Eligibility and Exclusion Criteria**

490 **2.2.1 Eligibility**

491 To be eligible for the study, all subjects must meet the following criteria:

- 492 1) Clinical diagnosis of type 1 diabetes and using daily insulin therapy for at least one year
493 *The diagnosis of type 1 diabetes is based on the investigator's judgment; C peptide level and antibody*
494 *determinations are not needed.*
- 495 2) Age ≥ 8 years
- 496 3) HbA1c less than or equal to 10.0%
497 • *The DCA2000 or comparable point of care device will be used to assess eligibility.*
- 498 4) Insulin regimen involves either use of an insulin pump or multiple daily injections of insulin (at least 3
499 shots per day) and has been stable for the last two months, with no plans to switch the modality of
500 insulin administration during the next 6 months (e.g., injection user switching to a pump, pump user
501 switching to injections, or the addition of Lantus (Glargine) insulin)
502 • *Subjects using premixed fixed doses of insulin at the time of enrollment will not be eligible*
- 503 5) Subject (and parent/guardian for children) understands the study protocol and agrees to comply with it
- 504 6) Subjects ≥ 9 years old and primary care giver (i.e., parent or guardian if subject is a minor)
505 comprehend written English or Spanish
506 • *This requirement is due to the fact that the questionnaires to be used as outcome measures do not*
507 *have validated versions in other languages.*
508 • *Spanish-speaking subjects will be enrolled only if a RT-CGM device that functions in Spanish and*
509 *has a User Guide in Spanish is available.*
- 510 7) No expectation that subject will be moving out of the area of the clinical center during the next year,
511 unless the move will be to an area served by another study center.
- 512 8) Informed Consent Form signed by the subject (or parent/guardian if subject is a minor, with subject
513 signing the Child Assent Form)

515 **2.2.2 Exclusion**

516 Subjects who meet any of the following criteria are not eligible for the study:

- 517 1) The presence of a significant medical disorder or use of a medication such as oral/inhaled
518 glucocorticoids that in the judgment of the investigator will affect the wearing of the sensors or the
519 completion of any aspect of the protocol.
- 520 2) The presence of any of the following diseases:
- 521 • Asthma if treated with systemic or daily inhaled corticosteroids in the last 6 months
 - 522 ➤ *Intermittent treatment with inhaled corticosteroids does not exclude subjects from enrollment*
 - 523 • Cystic fibrosis
 - 524 ➤ *Adequately treated thyroid disease and celiac disease do not exclude subjects from enrollment*
 - 525
- 526 3) Inpatient psychiatric treatment in the past 6 months (if the subject is a minor, for either the subject or
527 the subject's primary care giver).
- 528
- 529 4) Home use of RT-CGM in past 6 months.
- 530 ➤ *Use of a CGMS or GlucoWatch does not exclude subjects from enrollment*
 - 531
- 532 5) Participation in an intervention study (including psychological studies) in past 6 weeks.
- 533
- 534 6) Another member of the same household is participating in this study.
- 535
- 536 7) For females, pregnant or intending to become pregnant during the next year
- 537 *Pregnancy is an exclusion because of uncertainty about the lag between interstitial fluid glucose and*
- 538 *blood glucose during pregnancy, which might affect the accuracy of the sensor. Subjects who become*
- 539 *pregnant during the study will be discontinued from the study.*

540

541 **2.3 Subject Enrollment and Baseline Data Collection**

542 Potential subjects will be evaluated for study eligibility through the elicitation of a medical history and
543 performance of a physical examination by a study investigator.

544

545 **2.3.1 Historical Information and Physical Exam**

546 A history will be elicited from the subject/parent and extracted from available medical records with regard
547 to the subject's diabetes history and current diabetes management. A standard physical exam (including
548 vital signs and height and weight measurements) will be performed by the study investigator or his or her
549 designee. The physical exam will include inspection of the skin.

550

551 **2.3.2 HbA1c**

552 HbA1c level measured using the DCA2000 or comparable point of care device will be used to assess
553 eligibility. The measurement must be made within 2 weeks prior to enrollment.

554 *This HbA1c measurement can be performed as part of usual clinical care prior to obtaining*
555 *informed consent for participation in the trial.*

556

557 **2.3.3 Informed Consent**

558 For eligible subjects, the study will be discussed with the subject (and parent/legal guardian if the subject
559 is a minor, referred to subsequently as 'parent'). The subject/parent will be provided with the Informed
560 Consent Form to read and will be given the opportunity to ask questions. Subjects of appropriate age (per
561 IRB requirements) will either be given the Child Assent Form to read or it will be read to the child. If the
562 subject (and parent for minors) agrees to participate, the Informed Consent Form and Child Assent Form

563 (if applicable) will be signed. A copy of the consent form will be provided to the subject and another
564 copy will be added to the subject's clinic chart.

565

566 Written informed consent must be obtained from the subject (and parent for minors) prior to performing
567 any study-specific procedures that are not part of the subject's routine care.

568

569 **2.3.3.1 Authorization Procedures**

570 As part of the informed consent process, each subject (and parent for minors) will be asked to sign an
571 authorization for release of personal information. The investigator, or his or her designee, will review
572 what study specific information will be collected and to whom that information will be disclosed. After
573 speaking with the subject (and parent for minors), questions will be answered about the details regarding
574 authorization.

575

576 **2.3.3.2 Special Consent Issues**

577 The study population for this study includes children. The consent form and study procedures will be
578 discussed with these subjects at a level in which they can understand. The study staff will ask questions of
579 each minor subject to assess the autonomy and understanding of the study. Each minor subject will be
580 asked to sign an assent form, if appropriate for the subject's age. Additionally, the parent(s) and/or
581 guardian(s) of each minor subject will be asked to sign the consent form. They will be given the
582 opportunity to ask questions throughout the study on all study related procedures.

583

584 **2.3.4 Questionnaire Completion**

585 The following questionnaires will be completed. They are described in chapter 6.

586

587 **Subjects <18 Years Old**

- 588 • Blood Glucose Monitoring System Rating Questionnaire
- 589 • Peds-QL (combined sections of generic / diabetes modules)
- 590 • Hypoglycemia Fear Survey

591

592 **Parents of Minor Subject**

- 593 • Blood Glucose Monitoring System Rating Questionnaire
- 594 • Peds-QL (combined sections of generic / diabetes modules)
- 595 • Problem Areas in Diabetes (PAID - Parent version)
- 596 • Hypoglycemia Fear Survey

597

598 **Subjects 18 Years and Older**

- 599 • Blood Glucose Monitoring System Rating Questionnaire
- 600 • Problem Areas in Diabetes (PAID)
- 601 • SF-12
- 602 • Hypoglycemia Fear Survey

603

604 **2.3.5 Instructions for Home Procedures**

605 Each subject will be provided with a RT-CGM and sensors. The RT-CGM to be used will be decided
606 upon by the investigator if more than one is available for assignment. The RT-CGM will be blinded and
607 subjects will not be able to view the RT-CGM data. The subject will be instructed to use the RT-CGM on
608 a daily basis and will be instructed in the use of the device.

- 609 • Subjects will be advised to use the RT-CGM daily and to measure the blood glucose using the
610 HGM (unblinded) at least 4 times a day.

- 611
- 612
- 613
- 614
- Subjects will be informed that to be eligible for the randomized trial, the RT-CGM must be used on a minimum of 6 out of 7 days, at least 96 hours of RT-CGM glucose values including at least 24 hours of glucose values during the hours of 10 p.m. and 6 a.m. must be obtained, and a minimum of 3 HGM glucose measurements must be made each day.

615 **CHAPTER 3**
616 **RANDOMIZATION VISIT**
617

618 **3.1 Timing of Visit**

619 Enrolled subjects will return 10 (+3) days after enrollment for baseline testing and randomization. The
620 purpose of the visit will include the following:

- 621 • Assessment of compliance with the use of the RT-CGM and HGM
- 622 • Assessment of skin reaction in areas where a RT-CGM sensor was worn
- 623 • Randomization to the RT-CGM Group or the Control Group
- 624 • For subjects in the RT-CGM Group, initiation of unblinded RT-CGM use
- 625 • Instruction on downloading of glucose data for those with a home computer
- 626 • Collection of blood sample to send to the central laboratory for HbA1c determination

627
628 **3.2 Review of RT-CGM and HGM Data**

629 The HGM and RT-CGM data will be downloaded and reviewed by personnel not involved with treatment
630 of the subject to assess whether the subject has been compliant.

- 631 • To be continued in the study, it will be necessary that the subject has completed at least 3 HGM
632 measurements a day since enrollment, has used the RT-CGM on at least 6 out of 7 days prior to
633 the visit, and obtained at least 96 hours of RT-CGM glucose values with at least 24 hours of
634 glucose values during the hours of 10 p.m. and 6 a.m.

635
636 Subjects not meeting these criteria may be given a second opportunity at investigator discretion to
637 complete the RT-CGM and HGM requirements.

638
639 Subjects who are unable to meet the RT-CGM and HGM compliance requirements will be withdrawn
640 from the study and not randomized.

641
642 **3.3 Randomization**

643 Subjects who have been compliant with home glucose monitoring and use of the RT-CGM will be
644 randomized to one of two treatment groups:

- 645 1. RT-CGM Group
- 646 2. Control Group

647
648 The subject's randomization group assignment is determined by entering the Randomization Visit data on
649 the study website.

- 650 • The Jaeb Center will construct a Master Randomization List using a permuted block design.
- 651 • For subjects with baseline HbA1c $\geq 7.0\%$, the randomization will be stratified by clinical center,
652 age (8-<15, 15-<25, ≥ 25) and HbA1c (7.0-8.0, 8.1-10.0).
- 653 • For subjects with baseline HbA1c $< 7.0\%$, the randomization will be stratified by clinical center
654 only.

655
656 *Once a subject is randomized that subject will be included in the data analysis regardless of whether or*
657 *not the protocol for the assigned randomization group is followed. Thus, the investigator must not*
658 *randomize a subject until he/she is convinced that the subject/parent will accept assignment to either of*
659 *the two groups.*

660
661 **3.4 Procedures for the RT-CGM Group**

662 The RT-CGM provided at enrollment will be unblinded and the subject will be provided with sensors.
663 The subject will be instructed to use the RT-CGM on a daily basis and will be instructed in the use of the

664 device including calibration of the device using a study HGM and downloading the device (if the subject
665 has a home computer). Those with email access will be asked to email the downloaded data to the clinical
666 center before each scheduled phone call.

667
668 The subject (or parent for minors) will be observed placing the sensor. A guide booklet will be provided
669 for the subject to take home. The subject will be instructed to contact the site staff if any appreciable skin
670 reaction occurs.

671
672 During the visit, the RT-CGM, insulin pump (if the subject uses an insulin pump), and HGM data from
673 the pre-randomization visit week will be reviewed with the subject. The subjects will be provided with
674 algorithms to use to make changes to the diabetes management based on the data from the RT-CGM and
675 HGM.

676
677 **3.5 Procedures for the Control Group**

678 Subjects in the Control Group will have changes made in the insulin dosing based on the HbA1c and the
679 HGM data downloaded at this visit, and the investigator's prior experience in treating the subject.

680
681 Subjects will be provided with a HGM and test strips and will be asked to perform at least 4 fingerstick
682 blood glucose measurements per day.

683
684 The subjects will be provided with algorithms to use to modify diabetes management based on the HGM
685 glucose readings.

686
687 Subjects with a home computer will be provided with the software to download the HGM and will be
688 asked to do so weekly. Those subjects with email access will be asked to email the downloaded data to
689 the clinical center prior to each scheduled phone call.

690
691 **3.6 HbA1c**

692 For randomized subjects, a blood sample will be drawn to send to the central laboratory at the University
693 of Minnesota for the baseline HbA1c determination. The HbA1c will also be measured using the
694 DCA2000 at this visit.

695

CHAPTER 4
RANDOMIZED TRIAL PHASE

4.1 Home Procedures and Diabetes Management

4.1.1 RT-CGM Group

Each subject will be asked to use a RT-CGM sensor on a daily basis, inserting a new sensor as needed.

A study HGM will be used for calibration of the RT-CGM sensor. Additional HGM glucose measurements may be performed by the subject at anytime, particularly prior to making a real-time management decision based on the RT-CGM glucose reading.

At least once a week, subjects who have a home computer will be instructed to download the RT-CGM and HGM data for viewing. Subjects with email access will send the RT-CGM and HGM data to the clinical center prior to each scheduled phone call. The steps to follow will be detailed in the subject instruction manual.

Subjects who are not successfully using the assigned RT-CGM may be switched to a different RT-CGM if more than one is in use in the study. Criteria to be used in deciding whether a switch is to be made will be provided in the site procedures manual.

4.1.2 Control Group

A study HGM will be used for a fingerstick blood glucose check a minimum of four times a day (prior to each meal and bedtime). Subjects will be permitted to check a fingerstick glucose as many times a day as they choose.

Subjects who have a home computer will be asked to download the HGM at least once a week. Data summaries and charts will be available for these subjects to view. Subjects with email access will send the HGM data to the clinical center prior to each scheduled phone call. The steps to follow will be detailed in the subject instruction manual.

4.1.2.1 Use of Blinded RT-CGM by Control Group

After the 13 and 26-week visits, the Control Group will use the same blinded RT-CGM that was used at baseline for approximately one week. Instructions will again be provided for fingerstick testing on the HGM at least 4 times each day and as needed for calibration of the sensor.

Subjects will return one week after each visit to return the RT-CGM. The blinded RT-CGM will be downloaded by personnel not involved with treatment of the subject. Subjects who do not obtain at least 96 hours of RT-CGM glucose values with at least 24 hours of glucose values during the hours of 10 p.m. and 6 a.m. will be asked to repeat use of the blinded RT-CGM so that a sufficient amount of blinded data are obtained.

4.2 Follow-up Visits and Phone Contacts

The schedule for follow-up visits and phone contacts is the same for both treatment groups with the exception of a visit following the 13-week and 26-week visits for the Control Group to return the blinded RT-CGM (no study procedures will be completed at these visits).

A primary purpose of the visits and contacts will be to review diabetes management and make adjustments as needed.

4.2.1 Follow-up Visits

746 Follow-up visits will occur at

- 747 • 1 week (\pm 2 days)
- 748 • 4 weeks (\pm 1 week)
- 749 • 8 weeks (\pm 1 week)
- 750 • 13 weeks (\pm 1 week)
- 751 • 19 weeks (\pm 1 week)
- 752 • 26 weeks (\pm 1 week)

753

754 **4.2.1.1 Procedures at Follow-up Visits**

755 The following procedures will be performed in both groups at each visit, unless otherwise specified:

- 756 • Assessment of compliance with RT-CGM and HGM use
- 757 • Skin assessment (RT-CGM Group)
- 758 • Review of glucose data and pump data (if available) and recommendations for changes in diabetes management
- 759
- 760 • Collection of data for cost-effectiveness analysis
- 761 • HbA1c determination using the DCA2000 or similar point of care device for management decisions (4 weeks, 8 weeks, 13 weeks, 19 weeks and 26 weeks)
- 762
- 763 • Collection of a blood sample to send to the central laboratory for HbA1c determination (13 weeks, 26 weeks)
- 764
- 765 • Completion of Algorithm Satisfaction Questionnaire (4 weeks and 13 weeks)
- 766 • Completion of questionnaires (26 weeks)
 - 767 ○ **Subjects <18 Years Old**
 - 768 • Blood Glucose Monitoring System Rating Questionnaire
 - 769 • Peds-QL (combined sections of generic / diabetes modules)
 - 770 • Hypoglycemia Fear Survey
 - 771 • CGM Satisfaction Scale (RT-CGM Group Only)
 - 772
 - 773 ○ **Parents of Minor Subject**
 - 774 • Blood Glucose Monitoring System Rating Questionnaire
 - 775 • Peds-QL (combined sections of generic / diabetes modules)
 - 776 • Problem Areas in Diabetes (PAID - Parent version)
 - 777 • Hypoglycemia Fear Survey
 - 778 • CGM Satisfaction Scale (RT-CGM Group Only)
 - 779
 - 780 ○ **Subjects \geq 18 Years Old**
 - 781 • Blood Glucose Monitoring System Rating Questionnaire
 - 782 • Problem Areas in Diabetes (PAID)
 - 783 • SF-12
 - 784 • Hypoglycemia Fear Survey
 - 785 • CGM Satisfaction Scale (RT-CGM Group Only)
 - 786

787 **4.2.2 Phone Contacts**

788 A phone contact will be made between each protocol visit at the following times:

- 789 • 3 days (\pm 1 day)
- 790 • 18 days (\pm 3 days)
- 791 • 6 weeks (\pm 1 week)
- 792 • 10 weeks (\pm 1 week)
- 793 • 16 weeks (\pm 1 week)

- 22 weeks (± 1 week)

CHAPTER 5
POST-RANDOMIZED TRIAL OBSERVATION PHASE

5.1 RT-CGM Group

Subjects in the RT-CGM Group will continue using the RT-CGM and will be given additional sensors and instructed to use the sensors as often as they would like. Subjects will return for visits 13 weeks (± 1 week) and 26 weeks (± 1 week) following the 26-week visit.

- Subjects who have discontinued the use of the RT-CGM or are unwilling to continue using it will remain in follow-up through 12 months, without using RT-CGM.
- Subjects who are not successful using the assigned RT-CGM may be switched to a different RT-CGM if more than one is in use in the study.

5.2 Control Group

Subjects in the Control Group will be provided with a RT-CGM and sensors. The same RT-CGM system used during the blinded periods in the first 6 months will be used unless the investigator believes there is a reason to change.

- Subjects will be instructed on use of the RT-CGM and how to use the glucose and HGM data to adjust diabetes management.
- Subjects who discontinue the use of the RT-CGM or are unwilling to continue using it will remain in follow-up through 12 months, without using RT-CGM.
- Subjects who are not successful using the assigned RT-CGM may be switched to a different RT-CGM if more than one is in use in the study. Criteria to be used in deciding whether a switch is to be made will be provided in the site procedures manual.

Follow-up visits will occur after 1 week (± 2 days), 4 weeks (± 1 week), 13 weeks (± 1 week), and 26 weeks (± 1 week).

Phone contacts will occur at 3 days (± 1 day) and 2 weeks (± 4 days).

5.3 Procedures at Follow-up Visits

The following procedures will be performed in both groups at each visit, unless otherwise specified:

- Assessment of compliance with RT-CGM and HGM use
- Skin assessment
- Review of glucose data and pump data (if available) and recommendations for changes in diabetes management
- Collection of data for cost-effectiveness evaluation
- HbA1c determination using the DCA2000 or similar point of care device for management decisions (13 weeks, 26 weeks)
- Collection of a blood sample to send to the central laboratory for HbA1c determination (13 weeks, 26 weeks)
- Completion of Algorithm Satisfaction Questionnaire (4 weeks for Control Group Only)
- Completion of questionnaires (26 weeks)
 - **Subjects <18 Years Old**
 - Blood Glucose Monitoring System Rating Questionnaire
 - Peds-QL (combined sections of generic / diabetes modules)
 - Hypoglycemia Fear Survey
 - CGM Satisfaction Scale

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- **Parents of Minor Subject**
 - Blood Glucose Monitoring System Rating Questionnaire
 - Peds-QL (combined sections of generic / diabetes modules)
 - Problem Areas in Diabetes (PAID - Parent version)
 - Hypoglycemia Fear Survey
 - CGM Satisfaction Scale

- **Subjects ≥ 18 Years Old**
 - Blood Glucose Monitoring System Rating Questionnaire
 - Problem Areas in Diabetes (PAID)
 - SF-12
 - Hypoglycemia Fear Survey
 - CGM Satisfaction Scale

5.4 Optional Continuation of Study for Subjects Using the FreeStyle Navigator

At the 26-week visit, subjects using the FreeStyle Navigator will be given the opportunity to continue in the study until the device has FDA approval or until Abbott Diabetes Care can no longer provide supplies for the study. Subjects who continue in the study will sign an addendum to the consent form and will return to the clinic for a standard visit every 3 months.

CHAPTER 6 QUESTIONNAIRES

6.1 Introduction

All of the questionnaires are completed at baseline and 26 weeks during the randomized trial phase and at 26 weeks during the post-RCT observation phase, with the exception of the Algorithm Satisfaction Questionnaire which is completed at 4 weeks and 13 weeks during the RCT and at 4 weeks by the Control Group in the post-RCT observation phase and the Continuous Glucose Monitor Satisfaction Scale, which is completed during the RCT by the RT-CGM Group at 13 weeks and 26 weeks and during the post-RCT observation phase by both groups after 13 weeks and 26 weeks.

Each questionnaire is described briefly below. The procedures for administration are described in the study procedures manual.

6.2 Blood Glucose Monitoring System Rating Questionnaire

The Blood Glucose Monitoring System Rating Questionnaire was designed for this study to assess subjects' rating of their current method of blood glucose monitoring. At baseline, all subjects will answer the questions as they relate to the home glucose meter being used prior to enrollment in the study. At 26 weeks and 52 weeks, the RT-CGM group will answer the questions as they relate to the RT-CGM. The Control Group will answer the questions related to the HGM at 26 weeks and to the RT-CGM at 52 weeks. A separate parent version of the questionnaire will be completed at each timepoint for subjects <18 years old. Administration time is approximately 10 minutes.

6.3 Pediatric Quality of Life Inventory (PedsQL)

The PedsQL (Pediatric Quality of Life Inventory) is a modular instrument for measuring health-related quality of life (HRQOL) in children and adolescents ages 2-18. The PedsQL 4.0 Generic Core Scales are multidimensional child self-report and parent proxy-report scales developed as the generic core measure to be integrated with the PedsQL Disease-Specific Modules. The proposed version for this study will consist of 29 items. The appropriate version of this questionnaire will be completed by subjects <18 yrs of age and their parents at baseline and 26 weeks of the RCT and at 26 weeks of the post-RCT observational phase. Administration time is approximately 10 minutes.

6.4 Hypoglycemia Fear Survey

The original Hypoglycemia Fear Survey measured several dimensions of fear of hypoglycemia among adults with type 1 diabetes. It consisted of a 10-item Behavior subscale that measured behaviors involved in avoidance and over-treatment of hypoglycemia and a 13-item Worry subscale that measured anxiety and fear surrounding hypoglycemia. The instrument has since been revised to create a parent version and a child version of the original instrument. The Worry Scale for these latter two versions consists of 15 items, each with a 5-choice Likert response format. The appropriate version of this questionnaire will be completed by all subjects and the parents of subjects <18 yrs of age at baseline and 26 weeks of the RCT and at 26 weeks of the post-RCT observational phase. Administration time is approximately 10 minutes.

6.5 Problem Areas in Diabetes (PAID – Adult Subject Version)

The Problem Areas in Diabetes (PAID) is a measure of diabetes-specific emotional distress that was developed by the Joslin Diabetes Center, Boston. This self-administered questionnaire consists of 23 items that cover a range of emotional problems frequently reported in type 1 and type 2 diabetes. Each item is scored 0 to 4 ("Not a problem" to "Serious Problem"). This questionnaire will be completed by subjects \geq 18 yrs of age at baseline and 26 weeks of the RCT and at 26 weeks of the post-RCT observational phase. Administration time is approximately 10 minutes.

914 **6.6 Problem Areas in Diabetes (PAID – Parent Version)**

915 This questionnaire is administered to the parents of youth with diabetes to assess diabetes-specific quality
916 of life of parents. This questionnaire will be completed by parents of subjects <18 years of age at baseline
917 and 26 weeks of the RCT and at 26 weeks of the post-RCT observational phase. It consists of 20 items
918 and administration time is approximately 10 minutes.

919
920 **6.7 SF-12**

921 The SF-12 is a multipurpose short-form (SF) generic measure of health status. The 12 items in the SF-12
922 are a subset of those in the SF-36. SF-12 includes on or items from each of the eight health concepts.
923 Therefore, the SF-12 measures eight concepts of health status: physical functioning, role limitations due
924 to physical health problems, bodily pain, general health, vitality (energy/fatigue), social functioning, role
925 limitations due to emotional problems, and mental health (psychological distress and psychological well
926 being). The first four health concepts indicate physical health status and the others indicate mental health
927 status. This questionnaire will be completed by subjects ≥ 18 yrs of age baseline and 26 weeks of the RCT
928 and at 26 weeks of the post-RCT observational phase. Administration time is approximately 5 minutes.

929
930 **6.8 Continuous Glucose Monitor Satisfaction Scale**

931 This 46-item questionnaire was designed for this study to measure the impact of using a RT-CGM on
932 family diabetes management, general family relationships, and individual emotional, behavioral and
933 cognitive reactions to use of the device. This questionnaire will be completed at the 26-week follow-up
934 visit during the RCT by the RT-CGM Group and by both groups at the 26-week follow-up visits in the
935 post-RCT observational phase. The number of questions may be reduced prior to initiation of its use in
936 the study. A version of this questionnaire will also be completed by the spouses of adult subjects in the
937 RT-CGM group following the 26-week visit of the RCT and by spouses of adult subjects in both groups
938 following the 26-week visit of the post-RCT observational phase. For spouses, the questionnaire will be
939 completed on paper at home and mailed back to the clinical center. Administration time is approximately
940 10-20 minutes.

941
942 **6.9 Algorithm Satisfaction Questionnaire**

943 This questionnaire was developed to measure the frequency and convenience of use of study-developed
944 algorithms and satisfaction with use of the algorithms developed for the protocol. A separate
945 questionnaire will be completed by the RT-CGM Group and the Control Group at 4 weeks and 13 weeks.
946 The Control Group will complete the RT-CGM Algorithm Satisfaction Questionnaire at 4 weeks in the
947 post-RCT observational phase. Data from this study will be used to evaluate the measure's psychometric
948 properties including internal consistency, parent-adolescent agreement, associations with study outcomes
949 and descriptive statistics. Administration time is approximately 5 minutes.

950
951

952 **CHAPTER 7**
953 **ADVERSE EVENTS**

954
955 **7.1 Definition**

956 A reportable adverse event is any untoward medical occurrence that meets criteria for a serious adverse
957 event or any unexpected medical occurrence in a study subject that is study or device-related.

958 **7.2 Recording of Adverse Events**

959 Throughout the course of the study, all efforts will be made to remain alert to possible adverse events or
960 untoward findings. The first concern will be the safety of the subject, and appropriate medical
961 intervention will be made.

962
963 The investigator will elicit reports of adverse events from the subject at each visit and complete all
964 adverse event forms online. Each adverse event form is reviewed by the Coordinating Center to verify the
965 coding and the reporting that is required.

966
967 The study investigator will assess the relationship of any adverse event to be related or unrelated by
968 determining if there is a reasonable possibility that the adverse event may have been caused by the study
969 device or study procedures.

970
971 The intensity of adverse events will be rated on a three-point scale: (1) mild, (2) moderate, or (3) severe. It
972 is emphasized that the term severe is a measure of intensity: thus a severe adverse event is not necessarily
973 serious. For example, itching for several days may be rated as severe, but may not be clinically serious.

974
975 Adverse events will be coded using the MedDRA dictionary.

976
977 Definitions of relationship and intensity are listed on the website data entry form.

978
979 Adverse events that continue after the subject's discontinuation or completion of the study will be
980 followed until their medical outcome is determined or until no further change in the condition is expected.

981
982 **7.3 Reporting Serious or Unexpected Adverse Events**

983 A serious adverse event is any untoward occurrence that:

- 984
- 985 • Results in death
 - 986 • Is life-threatening; (a non life-threatening event which, had it been more severe, might have become
987 life-threatening, is not necessarily considered a serious adverse event)
 - 988 • Requires inpatient hospitalization or prolongation of existing hospitalization
 - 989 • Results in significant disability/incapacity
 - 990 • Is a congenital anomaly/birth defect

991 An *Unanticipated Adverse Device Event* is defined as an adverse event caused by, or associated with, a
992 device, if that effect or problem was not previously identified in nature, severity, or degree of incidence.

993
994 Serious or unexpected adverse events must be reported to the Coordinating Center immediately via
995 completion of the online serious adverse event form.
996

997 The Coordinating Center will notify all participating investigators of any adverse event that is both
998 serious and unexpected. Notification will be made within 10 days after the Coordinating Center becomes
999 aware of the event.

1000
1001 Each principal investigator is responsible for informing his/her IRB of serious study-related adverse
1002 events and abiding by any other reporting requirements specific to their IRB.
1003

1004 **7.4 Risks And Discomforts**

1005 **7.4.1 Skin Reactions**

1006 There is a low risk for developing a local skin infection at the site of the sensor needle placement.
1007 Itchiness, redness, bleeding, and bruising at the insertion site may occur as well as local tape allergies.
1008

1009 During each follow-up visit, each site where a RT-CGM sensor has been worn will be assessed by study
1010 personnel. Both acute and non-acute changes will be assessed (as described on the case report form and
1011 in the Procedures Manual). If a skin reaction is classified as severe (the observation is extremely
1012 noticeable and bothersome to subject and may indicate infection or risk of infection or potentially life-
1013 threatening allergic reaction) an Adverse Event Form will be completed.
1014

1015 **7.4.2 Fingertick Blood Glucose Measurements**

1016 Fingerticks may produce pain and/or ecchymosis at the site.
1017

1018 **7.4.3 Psychosocial Questionnaires**

1019 As part of the study, subjects and parents will complete psychosocial questionnaires which include
1020 questions about their private attitudes, feelings and behavior related to diabetes. It is possible that some
1021 people may find these questionnaires to be mildly upsetting. Similar questionnaires have been used in
1022 previous research and these types of reactions have been uncommon.
1023

1024 The study may include other risks that are unknown at this time.
1025

1026 **7.5 Data and Safety Monitoring Board**

1027 An independent Data and Safety Monitoring Board will be informed of all serious adverse events and any
1028 unanticipated adverse device events that occur during the study and will review compiled adverse event
1029 data at periodic intervals.
1030

1031 **CHAPTER 8**
1032 **MISCELLANEOUS CONSIDERATIONS**

1033
1034 **8.1 Benefits**

1035 It is expected that RT-CGM devices will have an important role in the management of diabetes.
1036 Therefore, the results of this study are likely to be beneficial for patients with diabetes.
1037

1038 It is possible that subjects will not directly benefit from being a part of this study. However, it is also
1039 possible that the blood sugar information from the monitor along with the algorithms provided for
1040 management decisions will be useful for subjects' diabetes self-management.
1041

1042 **8.2 Subject/Parent Reimbursement**

1043 The study will provide the RT-CGM and related supplies, and the study HGM and test strips.
1044

1045 The study will be paying the subject \$25 per completed protocol-required visit to cover travel and other
1046 visit-related expenses. Additional travel expenses will be paid in select cases for subjects with higher
1047 expenses. There will be no compensation for completing telephone calls.
1048

1049 Subjects who complete the study will be able to keep the study HGM and if the device is approved by the
1050 FDA, the subject will also be able to keep the RT-CGM. Test strips for the HGM and sensors for the RT-
1051 CGM to be used after the study will be the subject's responsibility.
1052

1053 **8.3 Subject Withdrawal**

1054 Participation in the study is voluntary, and a subject may withdraw at any time. The investigator may
1055 withdraw a subject who is not complying with the protocol.
1056

1057 **8.4 Confidentiality**

1058 For security purposes, subjects will be assigned an identifier that will be used instead of their name.
1059 Protected health information gathered for this study will be shared with the coordinating center, the Jaeb
1060 Center for Health Research in Tampa, FL. Information given to the coordinating center will include:
1061 diagnosis, general physical exam information (height/weight/blood pressure/etc.) insulin, questionnaire
1062 results, hemoglobin A_{1C} results, continuous glucose monitor results, blood work results, HGM blood
1063 glucose measurements, information pertaining to hypoglycemic excursions and the treatment given, as
1064 well as all other study related data gathered during study visits. During each visit, the study devices will
1065 be downloaded to a computer that is secured and password protected, the files will be sent directly to the
1066 coordinating center via email. All files will include only the subject's identifier; no names or personal
1067 information will be included. Laboratory specimens will be sent to the University of Minnesota which
1068 serves as the central lab for the study. In compliance with site-specific HIPAA policies, Jaeb Center will
1069 enter into a Data Use Agreement with local study sites.
1070

1071 Some of the cost effectiveness data being collected at enrollment at follow-up visits must be conducted
1072 via telephone by trained personnel for the study. If the phone interview cannot be conducted during the
1073 office visit, the phone number where the subject can be reached may be provided to the trained personnel
1074 for the study. The interview will be conducted at a time that is convenient for the subject (and parent if
1075 the subject is a minor).
1076

1077 During the study, subjects with a home computer will be asked to download the RT-CGM and study
1078 HGM data to their home computer. The downloaded data may be provided to Abbott Diabetes Care,
1079 DexCom, Inc., Medtronic Minimed or LifeScan, Inc. (depending on which types of RT-CGM and HGM
1080 are used) as well as the data collected for the study during the enrollment visit, at follow-up visits, and

1081 during phone contacts. The data provided to the companies will include only the subject's identifier; no
1082 names or personal information will be included.
1083

1084
1085
1086

CHAPTER 9 STATISTICAL CONSIDERATIONS

1087 The approach to sample size and statistical analyses are summarized below. A detailed statistical analysis
1088 plan will be written and finalized prior to the completion of the study. The analysis plan synopsis in this
1089 chapter contains the framework of the anticipated final analysis plan, which will supersede these sections
1090 when it is finalized.

1091
1092 **9.1 Sample Size Estimation**

1093 **9.1.1 Primary Cohort**

1094 In the Direcnet randomized trial of the Glucowatch, there were 174 subjects (ages 7-<18) whose baseline
1095 HbA1c was between 7.0 and 10.0 (mean 8.0). The standard deviation of the 6 month HbA1c values was
1096 0.9 and the correlation between baseline and 6 month values was 0.58. Data from adults with diabetes
1097 indicate a standard deviation of similar magnitude.

1098
1099 Assuming a two-tailed test with type I error rate of 5% and adjustment for baseline HbA1c, a sample size
1100 of 94 (47 per group) would give 90% power to detect a mean difference of 0.5 in HbA1c. Increasing by
1101 approximately 15% to account for any dropouts or noncompliant subjects gives a sample size of 110.

1102
1103 Preplanned subgroup analyses will be done by age, so 110 subjects will be enrolled for each of the three
1104 strata (8 to <15 years old, 15 to <25 years old, and ≥ 25 years old) for a total of 330 subjects (165 in each
1105 randomization group).

1106
1107 **9.1.2 Secondary Cohort**

1108 From a pilot study conducted by DirecNet using the Navigator, the mean \pm SD percentage of sensor
1109 values ≤ 70 mg/dL was $3.5\% \pm 4.1\%$, with a correlation between baseline and Week 13 percentages of
1110 0.66.

1111
1112 Assuming a two-tailed test with type I error rate of 5% and adjustment for the baseline percentage ≤ 70
1113 mg/dL, a sample size of 102 (51 per group) would give 90% power to detect a mean difference of 2%
1114 (e.g., 5% vs. 3%) in the hypoglycemic range. Increasing by approximately 15% to account for any
1115 dropouts or noncompliant subjects gives a sample size of 120.

1116
1117 Analysis will be pooled across age groups so the total sample size will be a minimum of 120.

1118
1119 **9.1.3 Interim Sample Size Re-estimation**

1120 Before recruitment ends and after approximately 75-100 subjects from the primary cohort (baseline
1121 HbA1c ≥ 7.0) have completed their 6 month visit, an interim analysis will be performed to re-evaluate the
1122 necessary sample size. To ensure that this interim analysis does not materially inflate the probability of a
1123 type I error, only the estimated variance will be used to re-calculate sample size. No estimate of the
1124 treatment effect itself will be used. The estimated variance of the treatment effect after adjustment for
1125 baseline HbA1c will be calculated pooling across age strata. If this variance is larger than originally
1126 anticipated, the sample size will be increased to up to 450 patients (150 per age stratum) in order to
1127 achieve the desired 90% power.

1128
1129 It is anticipated that by the time 75-100 patients complete the 6 month visit that most or all of the targeted
1130 330 patients will have already been recruited. Results of this interim analysis will therefore not be used to
1131 reduce the sample size if the observed variance is smaller than originally expected.

9.2 Statistical Analysis

9.2.1 Primary Cohort

9.2.1.1 Randomized Trial

Analysis will follow the intent-to-treat principle with all subjects analyzed in the group to which they were randomized, regardless of actual sensor wear. The primary analysis will include all subjects in the primary cohort. Preplanned subgroup analyses will be performed separately for each of the age strata: 8 to <15 years old, 15 to <25 years old, and ≥ 25 years old.

• HbA1c

The primary outcome for this cohort is the HbA1c value at 26 weeks measured in the central laboratory. If the laboratory value is unavailable then the DCA2000 value at 26 weeks will be imputed. If neither measurement is available then the value will be imputed based on available previous lab and/or DCA2000 HbA1c measurements (baseline, 4, 8, 13 and/or 19 weeks) using Rubin's method.¹⁷

Mean \pm SD values for the 26 week HbA1c value with 95% confidence intervals or percentiles appropriate to the distribution will be given for each randomization group. Randomization groups will be compared using an ANCOVA model adjusting for baseline HbA1c and factors used to stratify the randomization. Residual values will be examined for an approximate normal distribution. If values are highly skewed then a transformation or non-parametric methods will be used instead. However, previous experience suggests that HbA1c values will follow an approximate normal distribution. A 95% confidence interval will also be given for the difference of the randomization groups based on the ANCOVA model.

Improvement of at least 0.5% from baseline to 26 weeks will be analyzed as a secondary (binary) outcome. Randomization groups will be compared using logistic regression adjusting for the same factors mentioned above in the ANCOVA model.

• Glycemic Indices

The percentage of sensor values in the target range of 71-180 mg/dL at 13 and 26 weeks (separate outcome measures) will be compared between the two randomization groups (adjusted for the type of RT-CGM that was used). The percentage of sensor values in the hypoglycemic range (≤ 70 mg/dL) also will be compared.

Percentages of sensor values in the target and hypoglycemic ranges will be calculated giving equal weight to each of the 24 hours of the day for each subject. Comparisons of the two randomization groups will be performed using analogous ANCOVA models as described above for HbA1c. Residual values will be examined for an approximate normal distribution. If values are highly skewed, then a transformation or non-parametric methods will be used instead.

Randomization groups will also be compared for the total amount of sensor data available for this analysis at 13 and 26 weeks, amount of data between 10 p.m. – 6 a.m. and the percentage of subjects requiring a second week of sensor wear.

• Hypoglycemia

Clinical hypoglycemic events will be tabulated in each treatment group, which will be compared using Fisher's exact test. For purposes of analysis, a hypoglycemic event will be defined as an event requiring assistance of another person to actively administer carbohydrate, glucagon, or other resuscitative actions. These episodes may be associated with sufficient neuroglycopenia to induce seizure or coma. If plasma glucose measurements are not available during such an event, neurological recovery attributable to the

1182 restoration of plasma glucose to normal is considered sufficient evidence that the event was induced by a
1183 low plasma glucose concentration.

1184
1185 • **Questionnaires**

1186 Mean \pm SD values or percentiles appropriate to the distribution will be given by randomization group for
1187 the total score and each subscale for each questionnaire at 26 weeks. Results will be given separately for
1188 patient (for those old enough to fill out the questionnaire) and parent responses. For questionnaires
1189 administered to both randomization groups (i.e., excluding the CGM Satisfaction Scale comparisons will
1190 be made using similar ANCOVA models as described above for HbA1c. No formal adjustment will be
1191 made for multiple comparisons.

1192
1193 • **Skin Assessments**

1194 For each scheduled visit and any unscheduled visits during which an assessment was performed
1195 tabulations will be given for percentage of subjects with dry skin, scabbing and scarring and for adverse
1196 events due to skin reaction.

1197
1198 • **Adverse Events**

1199 Adverse events will be tabulated by treatment group and statistical tests performed as appropriate.
1200

1201 **9.2.1.2 Post-RCT Observation Phase**

1202 Mean \pm SD (or percentiles appropriate to the distribution) HbA1c values will be given by randomization
1203 group at baseline, 13 and 26 weeks of phase 2. The paired t-test will be used to compare the original
1204 control group at baseline vs. 26 weeks of phase 2. A similar t-test will be used to compare the group
1205 originally randomized to the sensor at 26 weeks of phase 2 vs. baseline of phase 1 and vs. baseline of
1206 phase 2 to see if any benefit was sustained through 1 year. Similar analyses will be performed for the
1207 percentage of sensor values in the target and hypoglycemia ranges and questionnaires. The number of
1208 hours of sensor data obtained in the week prior to the 13 and 26 week visits of phase 2 will be tabulated.
1209 Any adverse events will be summarized as described above.

1210
1211 **9.2.2 Secondary Cohort**

1212 Analyses in the secondary cohort (HbA1c $<$ 7.0% at baseline) will parallel those described for the primary
1213 cohort. The percentage of sensor values \leq 70 mg/dL at 26 weeks of phase 1 will be considered the
1214 primary outcome with HbA1c treated as a secondary outcome. Analysis will follow the intent-to-treat
1215 principle with all subjects analyzed in the group to which they were randomized, regardless of actual
1216 sensor wear.

1217
1218 **9.3.3 Cost-effectiveness Analysis**

1219 The cost-effectiveness analysis will be detailed in a separate document and is summarized below.
1220

1221 The analyses will address the following objectives:

- 1222 • To collect utilities for T1D-related health states for patients and their caregivers and to measure
1223 health care utilization and economic consequences attributable to RT-CGM.
 - 1224 • To evaluate the within-trial cost-effectiveness of RT-CGM compared with standard care.
 - 1225 • To evaluate the lifetime cost-effectiveness of RT-CGM utilizing a simulation model of the
1226 complications of diabetes.
 - 1227 • To compare the lifetime cost-effectiveness of RT-CGM with perfect self-selection with lifetime
1228 cost-effectiveness based on traditional cost-effectiveness analysis.
- 1229

1230 For all analyses, the effectiveness parameter will be expressed in terms of quality-adjusted life-years
1231 (QALYS). Cost accounting will vary according to the time-frame and perspective of the analysis. The
1232 within-trial health system perspective will include all the direct costs associated with the program and all
1233 direct and indirect medical costs accrued by the subjects during the course of the trial. On the other hand,
1234 the societal perspective for the within-trial analysis will also account for the time costs for parents of
1235 pediatric subjects and for the adult subjects enrolled in the study.
1236

1237 For the lifetime analysis, investigators will modify and utilize the original NIH model of T1D that was
1238 developed to analyze the long-term implications of the initial DCCT results. The model will be modified
1239 to incorporate recent changes in our understanding of the impact of glucose control on the development of
1240 complications.
1241

1242 Data to be collected from study subjects at baseline and during the study will include utilities for current
1243 health, utilities for complication health states, utilities for treatment-related experiences, medical care
1244 costs, household income, employment, and caregiver time.

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