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**CORRECTION OF MYOPIA
EVALUATION TRIAL
COMET2**

**A randomized trial of the effect of progressive addition lenses
versus single vision lenses on low myopia associated with large
accommodative lags and near esophoria in children**

PROTOCOL
Version 3.0
March 10, 2006

27 **CORRECTION OF MYOPIA EVALUATION TRIAL (COMET2)**

28 **A randomized trial of the effect of progressive addition lenses versus single vision lenses on**
29 **low myopia associated with large accommodative lags and near esophoria in children**

30 **PROTOCOL AMENDMENT 7-18-05**

31
32 This amendment provides for the following two protocol changes:

33
34 **Protocol Change #1**

35
36 Current Protocol

37 Currently the refractive error criteria for eligibility for screening with cycloplegic autorefraction
38 and the refractive error criteria for eligibility for the randomized trial, both include the criterion
39 of anisometropia ≤ 0.50 D difference between eyes in spherical equivalent.

40 Proposed Change

41 The proposed change is to widen the amount of allowable anisometropia in both eligibility
42 criteria to anisometropia ≤ 1.00 D difference between eyes in spherical equivalent.

43
44 Rationale for Change

45 The study's original inclusion criterion had been specified as anisometropia ≤ 1.00 D for
46 consistency with the criterion used in the original COMET study, but was later changed (prior to
47 the study's start) to anisometropia ≤ 0.50 D for consistency with the amblyopia studies
48 conducted by the PEDIG network. In retrospect the change had been ill-advised because in the
49 amblyopia studies the criterion is used to define anisometropia *as a cause of amblyopia* in
50 primarily hyperopic children, whereas in the COMET2 the purpose of the criterion is to define a
51 permissible amount of anisometropia in patients with low myopia. Therefore, it is felt preferable
52 that the COMET2 criterion be changed to ≤ 1.00 D for consistency with the original COMET
53 study.

54
55 **Protocol Change #2**

56
57 Current Protocol

58 The current protocol indicates that whenever a prescription change is made, either by protocol or at
59 investigator discretion, that the *amount of the change* in correction is at investigator discretion.

60
61 Proposed Change

62 Whenever spectacles are changed, either by protocol or at investigator discretion, the endpoint of
63 the subjective refraction should be prescribed.

64
65 Rationale for Change

66 Because at enrollment spectacles are being prescribed according to the endpoint of the subjective
67 refraction and because it would be usual practice in spectacle changes to prescribe the endpoint
68 of the subjective refraction, it was felt that the protocol should require all spectacle changes be
69 prescribed as such.

70 **CORRECTION OF MYOPIA EVALUATION TRIAL 2 (COMET2)**

71 **A Randomized Trial of the Effect of Progressive Addition Lenses Versus Single Vision**
72 **Lenses on Low Myopia Associated with Large Accommodative Lags and Near Esophoria**
73 **in Children**

74 **PROTOCOL AMENDMENT #2 (3-9-06)**
75
76

77 This amendment provides for a protocol change to the randomized trial eligibility criterion for
78 accommodative response. The criterion for accommodative response will be lowered by 0.50 D,
79 therefore requiring a higher amount of accommodative lag for randomized trial eligibility.
80

81 Current Protocol

82 The current protocol specifies that patients are eligible for the randomized trial if their accommodative
83 response at 33 cm (3.0 D) is less than 2.50 D (i.e., lag of accommodation 0.50 D or greater) as
84 measured by non-cycloplegic autorefractometry with a Grand Seiko open field of view autorefractor.
85

86 Proposed Change

87 The proposal is to narrow the randomized trial eligibility criterion for accommodative response
88 to less than 2.00 D (lag of accommodation 1.0 D or greater) therefore requiring a higher amount
89 of accommodative lag for randomized trial eligibility.
90

91 Rationale for Change

92 We now have reason to believe that the accommodative response measured by the method used
93 in the current study is less than the accommodative response measured by the method used in
94 COMET, the study on which the rationale for COMET2 is based. The major difference between
95 the two methods of measuring accommodative response is the autorefractor. A Canon R-1 was
96 used in the COMET study whereas the COMET2 uses a Grand Seiko, a newer autorefractor
97 which is more accurate than the Canon R-1. Another difference is that in COMET, an eye was
98 tested while a Maddox rod and Risley prism were held in front of the other eye (allowing for
99 simultaneous measurement of phoria), whereas in COMET2 an eye is tested while an occluder is
100 held in front of the other eye. Results from a pilot study of 40 myopic young adults found that
101 the accommodative response measured by the COMET2 method was 0.35 D less than by the
102 COMET method, meaning that the accommodative lag was greater by the same amount.

103 Therefore, COMET2 is very likely enrolling some patients with larger accommodative response
104 (i.e. less accommodative lag) than that of COMET patients in the subgroup which showed a
105 clinically meaningful treatment effect of PALs.
106

107 While the results of the pilot study support reducing the lower limit of accommodative response
108 to 2.15 D, it was decided to reduce the limit further to 2.00 D for two reasons. The first reason
109 relates to the future ability of clinicians in the community to implement the definition of high
110 accommodative lag, as clinicians normally measure accommodative response using monocular
111 estimation method (MEM) retinoscopy or Nott retinoscopy, the former of which measures
112 accommodative lag in quarter-diopter steps. Second, adopting a threshold of 2.00 D helps to
113 ensure that COMET2 will enroll children who truly have higher accommodative lags.
114

115 Impact of Change

116 Recruitment

117 Because sample size for the primary analysis was based on enrolling 100 patients, the study now
118 plans to recruit 100 patients whose accommodative response is in the range required under the
119 new threshold, up to a maximum of 150 patients total.

120

121 Informed Consent

122 No changes will be needed to the informed consent or assent documents.

123

124 Existing Randomized Patients Not Meeting Revised Criterion

125 Approximately 25% of the patients randomized thus far have accommodative response which
126 exceeds the revised criteria. The proposal is that such patients continue in the study, masked,
127 and in their assigned treatment groups. There is no reason to think that patients with less
128 accommodative lag wouldn't benefit from treatment, even though they might not be expected to
129 have the same degree of treatment benefit as would patients with higher amounts of lag. Also,
130 there are no unique safety concerns related to patients with lesser amounts of accommodative lag
131 wearing PALs, in fact, some clinicians prescribe PALs to similar patients in their routine
132 practices based solely on the presence of esophoria.

133

134 Although these patients will be able to continue in the study, given that they were consented into
135 the study on the basis of their having certain characteristics including high accommodative lag, it
136 is felt that some form of disclosure is warranted. At the patient's next study visit, the
137 investigator will inform the patient and parent that the patient may not have as much difficulty
138 focusing (accommodating) as was previously thought. In addition to verbally conveying this
139 information, parents will be given a written IRB-approved disclosure statement. Parents and
140 patients will be encouraged to have the patient stay in the study but will have the option to
141 withdraw. Masking of treatment group will be maintained regardless of whether the patient
142 continues in the study or opts to withdraw.

143

144 Primary Analysis

145 The primary analysis will include data from all randomized patients, regardless of whether the
146 patient meets the revised accommodative response criterion.

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217
218 **CHAPTER 1: BACKGROUND AND SUMMARY**
219

220 **1.1 Objective**

221 The principal objective of this randomized trial is to determine if progressive addition lenses
222 (PALs) versus single vision lenses (SVLs) slow the progression of low myopia in children with
223 poor accommodative responses (i.e., large accommodative lags) and near esophoria.
224

225 **1.2 Rationale**

226 Myopia is a significant public health problem that affects at least 25% of adults in the United
227 States and a much higher percentage of people in Asia. It is a predisposing factor for retinal
228 detachment, myopic retinopathy, and glaucoma, thus contributing to loss of vision and blindness.
229 As might be expected for such a prevalent condition, treatment costs are high. If interventions to
230 retard myopia progression are successful, sight-threatening complications might be avoided and
231 costs should be reduced.
232

233 At present, the mechanisms involved in the etiology of myopia are unclear and methods for
234 prevention are unproven. Even without a sound scientific rationale, many options for slowing the
235 progression of myopia have been evaluated. Results of studies using spectacle lenses, mainly
236 bifocals and PALs vs. SVLs, have been equivocal. In addition, compared to SVLs, bifocals and
237 PALs are more expensive and their long term effect on accommodation is unknown.
238

239 The overall 3-year difference in progression between children wearing PALs vs SVLs in the
240 Correction of Myopia Evaluation Trial (COMET) was 0.20D, statistically significant but not
241 clinically meaningful.¹
242

243 Reduced accommodative responses in association with near work has been shown in previous
244 research to be a factor in the development and progression of myopia.²⁻⁴ The COMET study
245 found in a secondary analysis that children with larger accommodative lags (> 0.43D for a 33
246 cm target, based on a median split) wearing single vision lenses (SVLs) had the most
247 progression at 3 years, and that progressive addition lenses (PALs) were effective in slowing
248 progression in these children, with statistically significant adjusted 3-year treatment effects
249 for those with larger lags in combination with near esophoria (0.64D), or lower baseline
250 myopia (0.48D).⁵ The treatment effects also were observed in these groups at 1 year and
251 became larger from 1-3 years. These results suggest that PALs are a clinically-viable
252 spectacle treatment for slowing progression in myopic children with large accommodative
253 lags in conjunction with near esophoria and lower amounts of myopia.
254

255 **1.3 Synopsis of Study**

256 Screening / Eligibility

257 Patients aged 8 to <12 years old who are suspected of having myopia in the range of -0.50D to
258 - 3.00D will be invited to be screened for the randomized trial.
259

260 The screening procedures will be explained and the parent or guardian of each patient will give
261 written informed consent for screening before any screening-specific procedures are performed.
262 Approximately 700 children are expected to be screened.

263
264 Screening consists of non-cycloplegic procedures of subjective refraction, testing of oculomotor
265 alignment, and testing of accommodative response. Measurement of accommodative response
266 using the Grand Seiko autorefractor is part of the screening for the randomized trial. Patients at
267 participating sites also will be asked to complete two additional tests of accommodation by
268 MEM and Nott retinoscopy as part of an ancillary study.

269
270 Once the above non-cycloplegic screening procedures are completed, patients who are ineligible
271 for the randomized trial will terminate participation in the study. Patients who *appear to be*
272 *eligible* for the randomized trial then will undergo cycloplegic autorefraction to determine
273 whether refractive error in each eye is within the eligibility range of -0.75 to -2.50 D spherical
274 equivalent.

275
276 Once the patient's eligibility has been verified by cycloplegic autorefraction, the trial will be
277 further discussed with the patient's parent or guardian and written informed consent for the
278 randomized trial will be obtained.

279
280 A summary of the screening/enrollment process is shown in the flowchart in section 1.4.

281 Randomization

282
283 Each patient entering the randomized trial will be randomly assigned to one of two treatment
284 groups: Progressive addition lenses (PALs) with a +2.00 D addition, or single vision lenses
285 (SVLs). Spectacles will be prescribed and paid for by the study.

286
287 The sample size for the primary analysis for the trial is estimated to be 100 children, with
288 approximately half of the children randomized to PALs and the other half randomized to SVLs.

289 Follow-up

290
291 Children will have three years of follow up, with visits every 6 months. At each visit a
292 subjective refraction will be performed to assess whether a prescription change is needed,
293 accommodative response will be measured using an open field autorefractor, and oculomotor
294 alignment will be assessed. At the one-, two-, and three-year visits, the primary outcome
295 measurements of cycloplegic autorefraction will be taken.

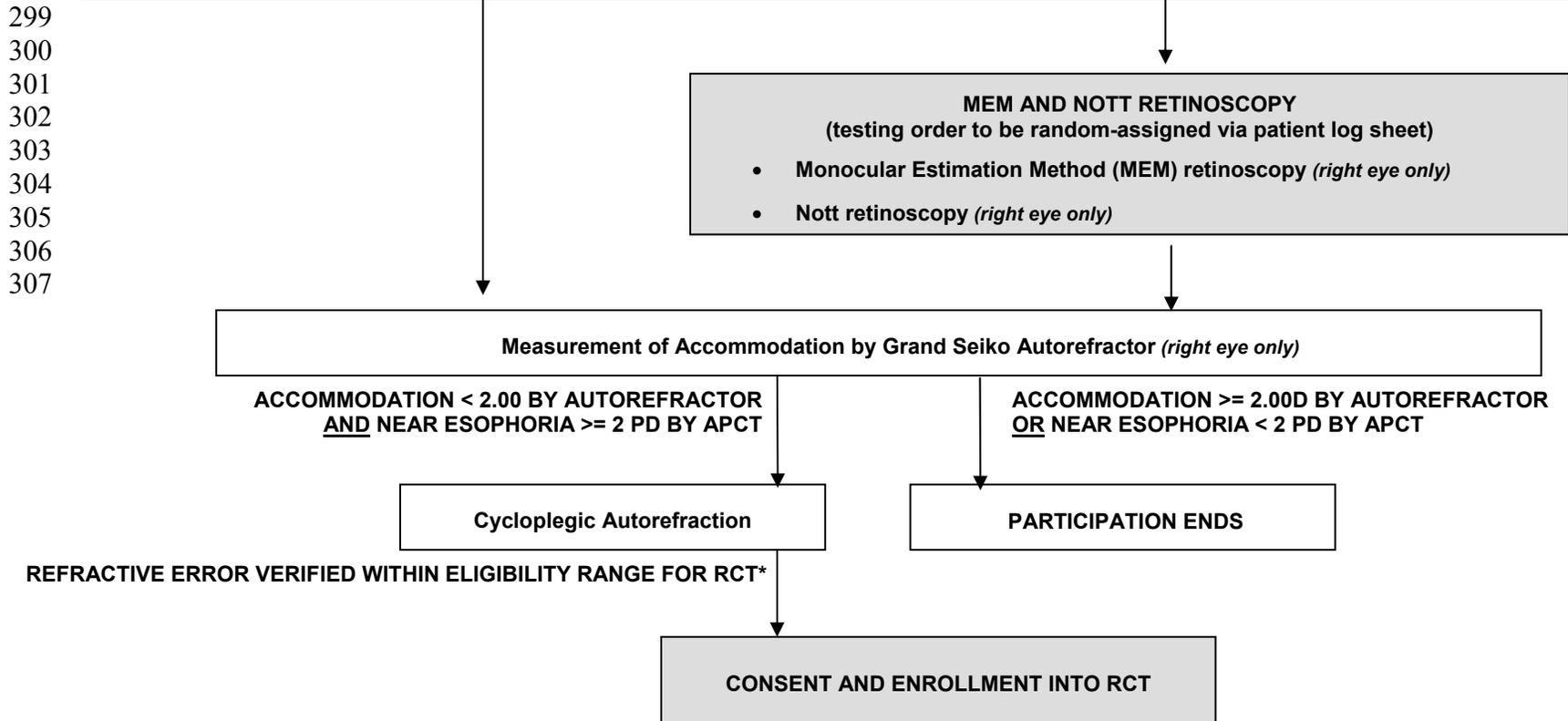
296
297 A summary of the randomized trial is shown in the flowchart in section 1.5.

298 **1.4 Study Screening/Enrollment Summary Flowchart**

SCREENING CRITERIA

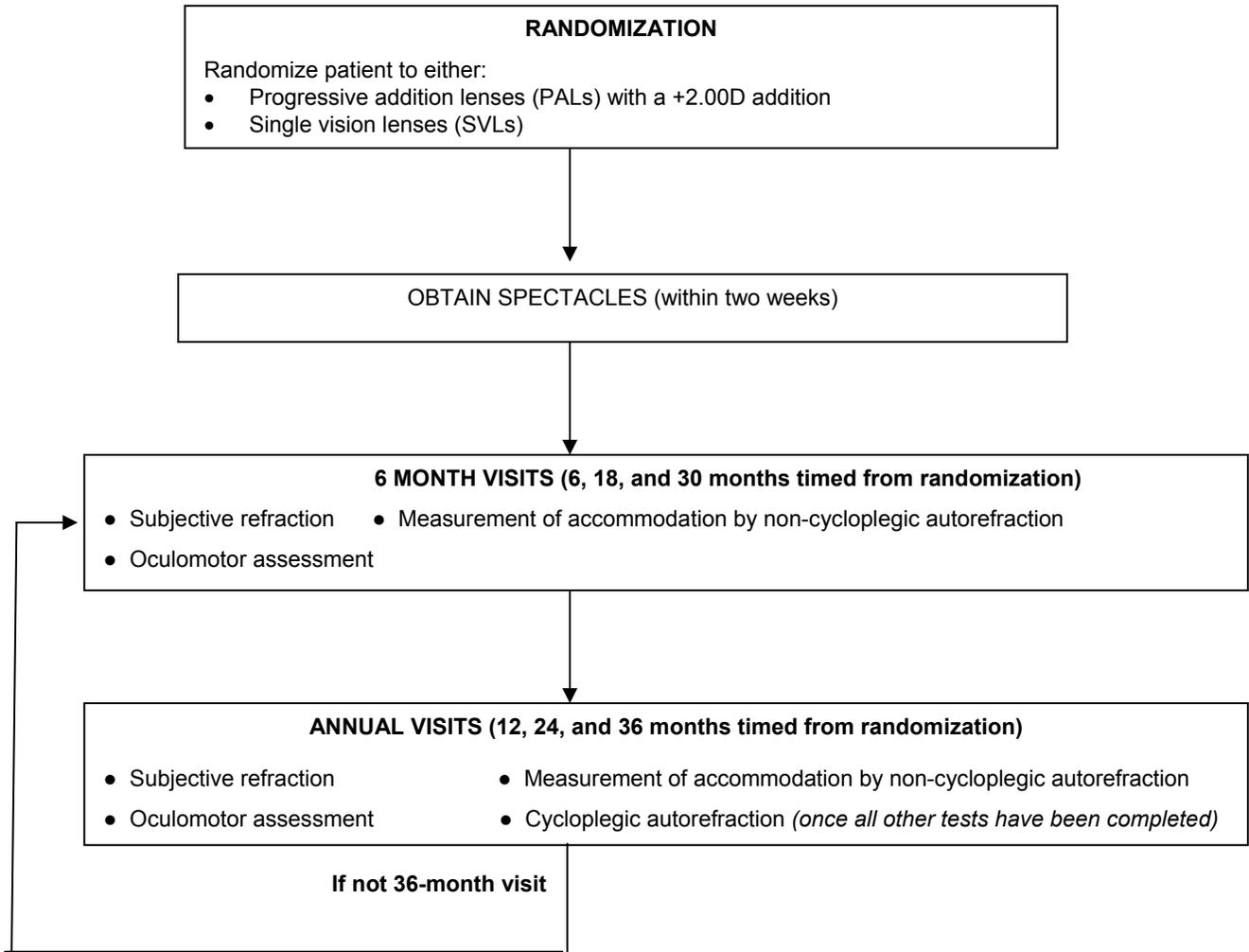
- Age 8 to <12 years
- Refractive error determined by subjective refraction without cycloplegia meeting all of the following:
 - Amount of myopia: -0.50 to -3.00 D in each eye
 - Astigmatism ≤ 1.5 D in each eye
 - Anisometropia ≤ 1.00 D difference between eyes in sph. eq.
- No strabismus by cover/uncover test at far, near, and/or near with +2.00D over best subjective refraction
- Visual acuity of at least 20/20 with best subjective refraction in both eyes
- No current or prior use of PALs, bifocals, or contact lenses in either eye (prior or current use of SVLs is allowed)
- No history of any of the following:
 - Strabismus, amblyopia, or nystagmus
 - Any ocular or systemic medications known to affect accommodation
 - Any ocular surgery which might influence refractive development
 - Any ocular, systemic, or neuro-developmental condition that might influence refractive development
 - Diabetes or seizures
- Birth weight at least 4.5 lbs.

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*Refractive error by autorefraction with cycloplegia meeting all of the following: amount of myopia: -0.75 to -2.50 D in each eye, astigmatism ≤ 1.5 D in each eye, and anisometropia ≤ 1.00 D

308 1.5 Randomized Trial Summary Flowchart



CHAPTER 2: SCREENING/ENROLLMENT

2.1 Eligibility Assessment and Informed Consent

Patients aged 8 to <12 years old who are suspected of having myopia in the range of $-0.50D$ to $-3.00D$ will be invited to be screened for the randomized trial.

The screening procedures will be explained to the parent or guardian of each patient, and he/she will give written informed consent for screening before any screening-specific procedures are performed. Approximately 700 children are expected to be screened.

Because fewer than 15% of screened children are expected to be eligible for the randomized trial, the non-cycloplegic screening procedures will be performed first and only patients who appear eligible for the randomized trial based on these procedures will undergo cycloplegia and subsequent autorefraction.

Screening consists of subjective refraction, testing of oculomotor alignment, and testing of accommodation. Measurement of accommodative response using the Grand Seiko autorefractor is part of the screening for the randomized trial. Patients at participating sites will be asked to complete two additional tests of accommodation by MEM and Nott retinoscopy as part of an ancillary study.

Once the non-cycloplegic screening procedures are completed, patients who are ineligible for the randomized trial will terminate participation in the study. Patients who *appear to be eligible* for the randomized trial will undergo cycloplegic autorefraction to determine whether refractive error in each eye is within the eligibility range of -0.75 to -2.50 D spherical equivalent.

Once the patient's eligibility has been verified by cycloplegic autorefraction, the trial will be discussed with the patient's parent or guardian and written informed consent for the randomized trial will be obtained.

2.2 Screening

The Screening/Enrollment Form should be completed on the PEDIG website or by faxing the form to the Jaeb Center if internet access is down.

Screening of potentially eligible patients will include collection of historical information including date of birth, gender, ethnicity, spectacle prescription, diagnosis of significant eye disease, eye surgery, systemic diseases, and medication use.

For screening procedures, non-cycloplegic screening procedures will be performed first (see section 2.1.1), then if the patient appears to be eligible for the randomized trial based on non-cycloplegic procedures (see section 2.2.2), cycloplegic autorefraction will be performed to verify randomized trial eligibility (see section 2.2.3).

2.2.1 Non-cycloplegic Procedures

The following procedures should be performed without cycloplegia:

- 355 1. Subjective refraction in both eyes
356 • The subjective refraction should be performed in both eyes prior to all other screening
357 procedures, as the results of this assessment will determine:
358 ○ The appropriate correction to use for the oculomotor assessment and
359 measurement of accommodative response.
360 ○ The spectacle correction to be prescribed if the patient is eligible for the
361 randomized trial.
362 • The protocol for this procedure is described in the Testing Procedures Manual.
363
364 2. Measurement of accommodative response at near in the right eye using MEM and Nott
365 retinoscopy, if the patient is participating in the ancillary study of these procedures (see chapter
366 5)
367
368 3. Measurement of accommodative response at near in the right eye using Grand Seiko open
369 field autorefractor
370 • Measurements should be taken in the right eye only
371 • Measurements will be taken without cycloplegia and using trial frames with the spherical
372 equivalent correction derived from subjective refraction (one lens) in trial frames in front of the
373 right eye and an occluder in front of the left eye
374 • The protocol for this procedure is described in the Testing Procedures Manual.
375
376 3. Oculomotor assessment
377 The following oculomotor assessments will be performed:
378 • Cover-uncover test
379 • Alternate Prism and Cover Test (APCT)
380
381 Both assessments should be performed as follows, without cycloplegia, using the specified
382 correction in trial frames:
383 • At distance and at near using correction determined from non-cycloplegic subjective
384 refraction
385 • At near with +2.00D over correction determined from non-cycloplegic subjective
386 refraction
387

388 The protocols for these procedures are described in the Testing Procedures Manual
389

390 **2.2.2 Eligibility for Screening with Cycloplegic Autorefraction**

391 Patients are eligible to continue screening if all of the following are met:

- 392 1. Refractive error determined by subjective refraction which meets all of the following:
393 • Spherical equivalent: -0.50 to -3.00 D in both eyes
394 • Astigmatism ≤ 1.5 D in both eyes
395 • Anisometropia ≤ 1.00 D difference between eyes in spherical equivalent
396
396 2. Visual acuity is at least 20/20 with best subjective refraction in both eyes
397
397 3. Accommodative response at near (33 cm) is less than 2.00D by non-cycloplegic autorefraction

- 398 4. No strabismus present by cover-uncover test at far, near, and/or near with +2.00D over best
399 subjective refraction
- 400 5. Near esophoria (≥ 2.0 PD) present by alternate prism and cover test (APCT) at near using
401 best refractive correction determined from non-cycloplegic subjective refraction
- 402 6. No current or prior use of PALs, bifocals, or contact lenses in either eye (prior or current use
403 of SVLs is allowed)
- 404 7. No history of any of the following:
- 405 • Strabismus
 - 406 • Amblyopia
 - 407 • Nystagmus
 - 408 • Ocular, systemic, or neuro-developmental condition that might influence refractive
409 development
 - 410 • Ocular surgery which might influence refractive development
 - 411 • Ocular or systemic medications known to affect accommodative response, such as
412 atropine, pirenzepine, and anti-epileptic medications
 - 413 • Diabetes or seizures
- 414 8. Birth weight at least 4.5lbs
- 415

416 **2.2.3 Cycloplegic Autorefraction**

417 If the patient is eligible for screening with cycloplegic autorefraction, this procedure will be
418 performed on both eyes using the Grand Seiko autorefractor after cycloplegia with 2 drops of 1%
419 tropicamide.

- 420 • The protocol for this procedure is described in the Testing Procedures Manual.
- 421

422 Patients are considered eligible for the randomized trial if the refractive error obtained by
423 cycloplegic autorefraction meets all of the following:

- 424 • Spherical equivalent: -0.75 to -2.50 D in each eye
 - 425 • Astigmatism ≤ 1.5 D in each eye
 - 426 • Anisometropia ≤ 1.00 D difference between eyes in spherical equivalent
- 427

428 **2.3 Randomization**

429 Each patient enrolling in the randomized trial will be randomly assigned to one of two treatment
430 groups:

- 431 • Progressive addition lenses (PAL) with a +2.00D addition
 - 432 • Single vision lenses (SVL)
- 433

434 The JAEB Center will construct a master randomization list using a permuted block design
435 stratified by site and by whether the patient has previously worn spectacles, which will specify
436 the order of treatment group assignments.

437

438 The patient's treatment group assignment can be obtained either by completing randomization on
439 the PEDIG website or by contacting the Jaeb Center if internet access is down.

440

441 **2.4 Prescribing Spectacles**

442 Spectacles will be prescribed according to the endpoint of the subjective refraction (i.e.
443 minimum minus for best acuity).

444

445 **2.5 Obtaining Spectacles**

446 Patients will be sent to a study-certified optician to have the spectacles made and fitted.

447

448 Spectacles will be provided at no cost to the patient

449 • All lenses (i.e. single-vision and progressive-addition) will be polycarbonate and will be
450 donated by Essilor.

451 • The frames will be paid for by the study.

452

453

454 Specific fitting protocols detailed in the Testing Procedures Manual will be used for fitting PALs
455 and SVLs.

456

457 Patients should be encouraged to obtain the new spectacles within 2 weeks of randomization.

CHAPTER 3: FOLLOW-UP

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3.1 Visit Schedule

Patients will have protocol-specified follow-up visits every six months (± 2 weeks) for three years. Visits will be timed from the date of randomization.

Additional visits may be scheduled as needed (see section 3.2).

3.2 Protocol Visits

3.2.1 Examination Procedures

Prior to the patient's examination by the investigator, the clinic coordinator will update the child's history, and will verify the spectacle prescription using a lensometer.

The following procedures will be performed without cycloplegia and by the same methods as were used at the enrollment visit:

1. Subjective refraction of both eyes

- The purpose of the subjective refraction is to determine whether the patient's spectacle prescription should be changed (see section 3.4.1).
 - If the investigator is changing the spectacle correction, the subsequent testing at this visit will be performed with the patient wearing the correction to be prescribed.

2. Oculomotor assessment using cover-uncover test and Alternate Prism and Cover Test (APCT) (see section 2.4.1)

- Both assessments should be performed as follows, without cycloplegia, using the specified correction in trial frames:
 - At distance and near, using the specified correction*
 - At near with +2.00D over the specified correction*

3. Measurement of accommodative response in the right eye by using Grand Seiko open field autorefractor

- Measurement will be taken with patient wearing trial frames with the specified correction* in front of the right eye and an occluder in front of the left eye.

*The specified correction is the patient's current correction unless the spectacle correction is being changed at this visit, in which case it is the new spectacle correction.

The protocols for the above examination procedures are described in the Testing Procedures Manual.

3.2.1.1 Additional Procedure at Annual Visits

At annual visits, cycloplegic autorefraction of both eyes will be performed using the Grand Seiko autorefractor after administration of 1% tropicamide.

503 Cycloplegic autorefraction should be performed after all other examination procedures have been
504 completed.

505

506 **3.2.2 Masking of Treatment Group**

507 At all visits, the investigator will be masked to the patient's treatment group. Patients will remove
508 their spectacles prior to the exam and will not wear the spectacles for any exam procedures.

509

510 **3.3 Additional Visits**

511 In order to maintain masking of the study investigator, any time the patient reports any type of
512 problem (e.g. blurry vision, headaches, etc.), a visit should be scheduled for an examination by
513 an unmasked consulting clinician.

514

515 The procedures and assessments to be completed for this examination are at the discretion of the
516 consulting clinician. A subjective refraction can be performed if the consulting clinician
517 suspects that the refractive error may not be optimally corrected. Other clinical procedures as
518 outlined in the protocol can be used to assess the nature of the problem and to arrive at a viable
519 treatment.

520

521 The patient's spectacle prescription may be changed if indicated (see section 3.4.1). On rare
522 occasions in which the patient's problem is not resolved by a change in spectacle prescription,
523 and the consulting clinician feels that a change in lens type (i.e. treatment crossover) may be
524 warranted, the consulting clinician should contact the protocol chair to discuss the case.
525 Prescribing a change in lens type will be permitted only with prior approval of the protocol chair.

526

527 **3.4 Treatment During Follow Up**

528 The patient should wear the random-assigned spectacles during all waking hours. Contact lenses
529 should not be worn.

530

531 Vision therapy may not be prescribed.

532

533 **3.4.1 Prescription Changes**

534 A prescription change must be made if the subjective refraction finding differs from the
535 current prescription by at least 0.50 D in spherical equivalent. Prescription changes can
536 be made for smaller differences at investigator discretion if the new prescription
537 improves the patient's visual acuity by at least one line over that in their current spectacle
538 correction. Whenever a spectacle change is made, spectacles will be prescribed according
539 to the endpoint of the subjective refraction (i.e. minimum minus for best acuity).

540

541 All prescription changes will be documented and tracked.

542

543 For progressive addition lenses, prescription changes apply to distance correction only; the power of
544 the addition will remain constant (i.e., +2.00D) throughout the study.

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CHAPTER 4: MISCELLANEOUS CONSIDERATIONS IN FOLLOW-UP

4.1 Patient Withdrawals

A patient (and in this case the parents or guardians) may withdraw from the trial at any time. Based on the high retention (98.5% after 3 years) in COMET1, patient withdrawal is expected to be very infrequent. If the parents or guardians indicate that they want to withdraw the child from the study, the investigator personally should attempt to speak with them to determine the reason.

4.2 Discontinuation of Study

A patient who is found to be ineligible for the study or for whom there are substantial deviations from the protocol may be discontinued from the study. The patient may continue to receive necessary clinical care from the investigator or care may be transferred to another clinician with the consent of the parents.

The study may be discontinued by the Steering Committee (with approval of the Data and Safety Monitoring Committee) before all patients have completed the protocol-specified period of follow up.

4.3 Risks of Examination Procedures

The procedures in this study are part of routine eye care in the United States and pose no known risks. As part of a routine usual-care exam, the patient may receive cycloplegic/dilating eye drops.

4.4 Risks of Treatment

Any type of spectacle wear has a minimal risk for injuries related to frames/lens breakage. Single-vision lenses are routinely prescribed for nearsighted children and pose no additional known risks. The risks of wearing progressive addition glasses are largely unknown. Some children may have some difficulty in the first week with reading, going down steps, and seeing things off to the side. It is not known whether wearing this type of glasses for a long period of time has an effect on accommodative response.

The risks of wearing either type of spectacles are the same as those that would be present wearing the given type of spectacles outside of the study.

4.5 Reporting of Adverse Events

1. A diagnosis of tropia, occurrence of double vision, and any injuries related to frames/lens breakage are to be recorded on the Follow-up Examination Form.
2. Each investigator is responsible for informing his/her IRB of serious treatment-related adverse events and abiding by any other reporting requirements specific to his/her IRB.
3. Data on the complications of study treatment will be tabulated regularly by the Coordinating Center for review by the Steering Committee. Serious complications will be reported expeditiously to the Data and Safety Monitoring Committee, which will receive a full adverse

590 event report semi-annually. Following each DSMC data review, a summary will be provided to
591 IRBs.

592

593 **4.6 Patient Payments**

594 Each patient screened for the study will be given a gift certificate valued at \$10, regardless of
595 their participation in the ancillary study or their eligibility for the randomized trial.

596

597 For patients who are eligible for the randomized trial and elect to participate, the following
598 payments will be made to the parent/guardian:

599

- \$25 for enrollment into the randomized trial
- \$25 for completion for each follow-up visit, up to a maximum of \$150

600

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602 If there are extenuating circumstances, additional funds may be provided for travel if expenses
603 exceed \$25 and the patient will be unable to complete the visit without the reimbursement of the
604 travel expenses.

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606 **4.7 Contacts by the Jaeb Center for Health Research**

607 The Jaeb Center serves as the PEDIG Coordinating Center. The Jaeb Center will be provided
608 with the parent/guardian's contact information. Contact information will be used for issuing
609 payments and for making phone contacts when necessary to facilitate visit scheduling. A patient
610 newsletter, study updates, and a study logo item may be sent. Patients will be provided with a
611 summary of the study results in a newsletter format after completion of the study by all patients.

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613 **CHAPTER 5: MEM AND NOTT ACCOMMODATION ANCILLARY STUDY**

614
615 **5.1 Overview**

616 As part of screening to identify patients eligible for the randomized trial, patients at participating
617 centers will be asked to participate in an ancillary study consisting of testing of accommodative
618 response by MEM and Nott retinoscopy before completing the screening measurement of
619 accommodative response by Grand Seiko autorefraction. Recruitment for the ancillary study
620 will continue as part of screening for the randomized trial until 300 patients have been enrolled
621 into the ancillary study.

622
623 Patients do not need to participate in the MEM and Nott accommodation study in order to enter
624 the randomized trial. Also, many patients in the MEM and Nott accommodation study will not
625 be eligible for the randomized trial and will end their study participation with the initial visit.

626
627 Consent for this ancillary study is covered in the informed consent for screening for the
628 randomized trial.

629
630 **5.2 Objectives**

631 The objectives of the study are to compare the following measurements of accommodative
632 response obtained by the following methods:

- 633 • Monocular Estimate Method (MEM) retinoscopy vs. Grand Seiko autorefractor
- 634 • Nott retinoscopy vs. Grand Seiko autorefractor
- 635 • Monocular Estimate Method (MEM) retinoscopy vs. Nott retinoscopy

636
637 **5.3 Rationale**

638 If the randomized trial finds that progressive-addition lenses (PALs) slow myopia progression to
639 a clinically significant degree in children with reduced accommodative response, a new
640 challenge will arise in clinical practice: how to identify such children as candidates for treatment
641 with PALs.

642
643 Little data are available on the accuracy of the two clinical techniques that are used by some
644 practitioners to measure accommodative response: Monocular Estimation Method (MEM)
645 retinoscopy and Nott retinoscopy. Autorefractors such as the Grand Seiko open-field
646 autorefractor provide an objective measurement of accommodative response but these
647 instruments are available in few practices due to their high cost. Thus, the current ancillary study
648 is being undertaken to compare data obtained using clinical measures of accommodative
649 response--MEM and Nott retinoscopy--to objective measurements obtained with the Grand Seiko
650 autorefractor.

651
652 The aim is to help determine whether a simple, effective measure exists that can be easily used
653 by clinicians to identify children with reduced accommodative response who, if they have low
654 myopia and esophoria, might benefit from treatment with PALs.

655
656 **5.4 Background**

657 The fundamental strategy in both the MEM and Nott retinoscopy techniques is to provide a
658 subject with a near target (e.g. words at a distance of 33 cm) that is viewed binocularly.

659 Retinoscopy is then used to determine how closely the subject's accommodative response
660 approximates the accommodative demand created by the target, and, to determine the amount of
661 under-accommodation (lag) or over-accommodation (lead).

662
663 In the Monocular Estimate Method (MEM),⁶ the reading target is mounted on the retinoscope
664 and has a hole through which retinoscopy is performed. Spherical lenses are introduced briefly in
665 front of one eye to neutralize the perceived motion. This technique assumes that accommodative
666 response is maintained by the other eye while the lenses are introduced and that the lenses are
667 introduced for no more than 300 msec, less than the latency of the accommodative response.

668
669 In Nott retinoscopy, the reading target is held at a fixed distance and the clinician performs the
670 retinoscopy slightly off-axis, moving the retinoscope closer to, or farther from the subject to
671 neutralize the reflex. The amount of accommodative response is calculated from the distance at
672 which the neutral motion occurs and is interpreted with regard to the accommodative demand
673 created by the target.

674
675 MEM retinoscopy is more commonly referenced in the literature than Nott retinoscopy, and may
676 be simpler to perform because the distance of the target/retinoscope from the subject is fixed.
677 However, the examiner must be sure that the lenses are inserted and removed very quickly so as
678 not to alter the accommodative response. In adults, Nott retinoscopy has been reported to have
679 higher inter-observer agreement⁷ and to provide higher values of accommodative lag than MEM
680 retinoscopy.⁸

681 682 **5.5 Eligibility Criteria**

683 The eligibility criteria are the same as for the randomized trial except for the following:

- 684 • Eligibility criterion for amount of myopia is refractive error in the range of -0.50D to -
685 3.00D spherical equivalent by subjective refraction.
- 686 • There is no eligibility criterion related to the amount of accommodative response, as the
687 study aims to test children with a wide range of accommodative responses.
- 688 • There is no eligibility criterion related to presence of and/or amount of esophoria

689 690 **5.6 Procedures**

691 The MEM measurements and the Nott retinoscopy measurements must be taken by different
692 study-certified examiners, with each examiner masked to the measurements taken by the other
693 examiner.

694
695 The testing will be performed without cycloplegia and prior to the measurement of
696 accommodative response using the Grand Seiko autorefractor. Accommodative response should
697 be measured in the right eye three times using MEM retinoscopy and three times using Nott
698 retinoscopy. For a given patient, the procedure (MEM or Nott retinoscopy) to be performed first
699 will be randomized. The randomly-assigned testing order for each patient will be specified on a
700 log sheet which the Coordinating Center will generate for each site.

701
702 The protocols for MEM and Nott testing are described in the Testing Procedures Manual.

CHAPTER 6: SAMPLE SIZE AND STATISTICAL ANALYSIS

The estimation of sample size and statistical analysis plan are summarized below and detailed in separate documents.

6.1 Sample Size Estimation

6.1.1 Randomized Trial

The sample size for the randomized trial has been estimated to be 50 patients per treatment group in order to have 90% power to detect at least a 0.60 D difference in myopia progression between treatment groups allowing for 10% loss to follow up. An adjustment for treatment group crossover was not made as this was very infrequent in COMET (2 out of 469 children) and is expected to be similarly infrequent in COMET2.

6.1.2 MEM and Nott Accommodation Ancillary Study

The sample size for the ancillary study has been estimated to be 300 patients. This sample size is based on the number of patients needed to have 0.05 half-widths for 95% confidence intervals for point estimates of sensitivities and specificities of 90%.

6.2 Statistical Analysis

6.2.1 Randomized Trial

6.2.1.1 Primary Analysis

The primary analysis will be a comparison of the average change from baseline to 3 years in amount of myopia between children in the single-vision lens group and the children in the progressive-addition lens group.

The primary outcome is change from baseline to 3 years in spherical equivalent refractive error (SER) in diopters (D) as measured by cycloplegic autorefraction. For each eye, the Grand Seiko autorefractor will yield 5 readings consisting of sphere, cylinder, and axis. Each reading will be converted to a spherical equivalent refractive error (SER) and the median of the 5 SER values will be used for analysis.

The primary analysis will be a treatment group comparison of mean 3-year change in amount of myopia using the t-test. For each time point, the spherical equivalent refractive error (SER) values for a given child will be calculated using the average of the spherical equivalent refractive errors from each eye. Change in amount of myopia will be calculated as the difference of the child's SER at 3 years minus the child's SER at baseline.

6.2.1.2 Secondary Analyses

Treatment effect will be assessed within subgroups based on the following factors: age, gender, ethnicity, prior spectacle wear, phoria, baseline refractive error, and accommodative lag.

Compliance with spectacle wear will be compared between treatment groups using a qualitative estimate of how often during waking hours the spectacles are worn.

747 Point estimates of change in myopia will be obtained for each treatment group from baseline
748 to 1, 2 and 3 years and 95% confidence intervals calculated. Estimates of change between
749 successive visits and 95% confidence intervals also will be calculated.

750
751 Point estimates and 95% confidence intervals for change in accommodative response will be
752 calculated at 1 year, 2 years, and 3 years for each treatment group and change in
753 accommodative response will be compared between treatment groups using t-tests.

754

755 **6.2.1.3 Interim Analyses**

756 Given the expected duration of recruitment (approximately 16 months), it is not possible that
757 significant differences in SER at the primary outcome time (3 years) will be observed prior to the
758 end of recruitment. Based on the effect size for children meeting COMET2 criteria seen in
759 COMET, it also is unlikely that significant differences in the 3 year outcome will be observed
760 prior to most or all children reaching the 3 year follow-up visit. Although interim significant
761 differences at earlier follow-up times are possible, these may not be sustained through longer
762 follow-up and would not constitute a reason to stop recruitment or follow-up in the study.
763 Hence, a formal statistical monitoring plan for the primary outcome is not planned. However, an
764 efficacy and safety report will be provided to the DSMC twice each year.

765

766 **6.2.2 MEM and Nott Accommodation Ancillary Study**

767 Data analysis will consist of determining the sensitivity and specificity for MEM and Nott testing
768 methods. The Grand Seiko measurements of accommodative response will be considered the
769 gold standard. Sensitivity and specificity will be based on the ability of MEM and Nott testing
770 to properly classify patients as to whether reduced accommodative response is present.

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772 95% confidence intervals will be calculated for both sensitivity and specificity for each testing
773 method.

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